

ON THE CONNECTION

BETWEEN

LEPTOGENESIS &

LOW-ENERGY CPV

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## Outline

- LOW ENERGY "OBSERVABLE" CPV  
PHASES:  $S, \alpha_{21}, \alpha_{31}$ .
- THE SEE-SAW MECHANISM
- LEPTOGENESIS AND  
LFV CHARGED LEPTON DECAYS
- ON THE CONNECTION BETWEEN  
CPV PHASES IN LEPTOGENESIS,  
LFV  $\ell$ -DECAYS AND  $m_\nu$ .
- TWO EXAMPLES:
  - A NORMAL HIERARCHICAL MODEL;
  - THE QUASI-DEGENERATE  
 $\nu$ -MASS CASE.


# CP-VIOLATION IN THE LEPTON SECTOR

For 3- $\nu$  mixing,  $U_{PMNS}$  can be parametrized as:

$$U_{PMNS} = \begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{i\delta} \\ -s_{12}c_{23} - c_{12}s_{23}s_{13}e^{i\delta} & -c_{12}c_{23} - s_{12}s_{23}s_{13}e^{i\delta} & s_{23}c_{13} \\ s_{12}s_{23} - c_{12}c_{23}s_{13}e^{i\delta} & -c_{12}s_{23} - s_{12}c_{23}s_{13}e^{i\delta} & c_{23}c_{13} \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & e^{i\alpha_{21}} & 0 \\ 0 & 0 & e^{i(\alpha_{31}-\delta)} \end{pmatrix}$$

There are 3 CP-V PHASES:  $\delta$ ,  $\alpha_{21}$ ,  $\alpha_{31}$ .

- the universal CP-V PHASE  $\delta$ .

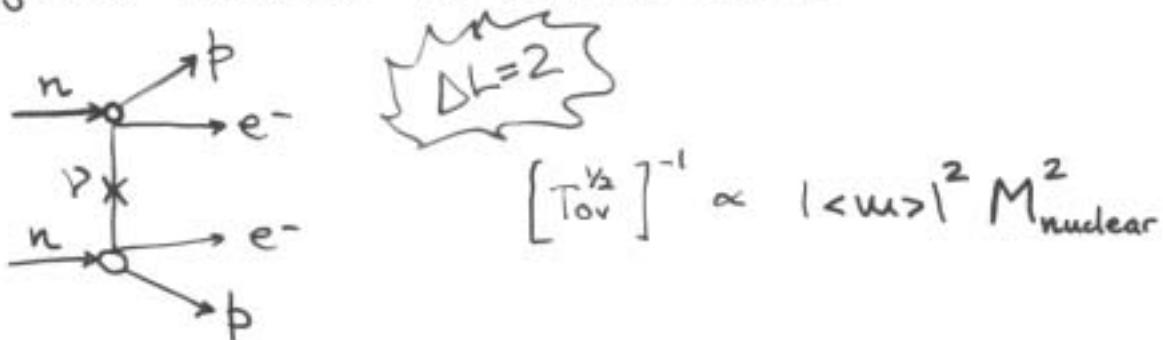
It enters in  $\nu$ -oscillations and it may be measured in future long-base line experiments. 

This requires:  $\sin^2\theta_{13}$  not too small, to resolve degeneracies among different parameters ( $\theta_{13}, \delta \leftrightarrow \theta'_{13}, \delta'$ ), to disentangle from matter effects.

- two Majorana CPV PHASES.

They are physical only if  $\nu$  are Majorana particles. They do not enter in  $\nu$ -oscillations but are in principle measurable in  $\Delta L=2$  processes and in particular in  $(\beta\beta)_{\nu}$ -decay. (e.g. Rodejohann; S.P., Petcov, Rodejohann; Berger et al.)

$(\beta\beta)_{\nu}$ -decay proceeds through the exchange of Majorana neutrinos in certain nuclei:



$|\langle m \rangle|$  is the effective Majorana mass parameter:

$$|\langle m \rangle| = \left| m_1 |U_{e1}|^2 + m_2 |U_{e2}|^2 e^{i\alpha_{21}} + m_3 |U_{e3}|^2 e^{i\alpha_{31}} \right|$$

$$\cong m_{\bar{\nu}e} \left| \cos^2 \theta_0 + \sin^2 \theta_0 e^{i\alpha_{21}} \right|$$

↑ for QD spectrum ( $m_1 = m_2 = m_3 = m_{\bar{\nu}e} \gg \Delta m_{21}^2, \Delta m_{31}^2$ )

In principle, a measurement of  $|\langle m \rangle|$  combined with a better determination of the oscillation parameters and a precise measurement of  $m_1$  would allow to establish if CP is violated and to constrain the CP Violating phases,  $\alpha_{21}, \alpha_{31}$ , once the spectrum is known. For ex., for the QD spectrum:

$$\sin^2 \alpha_{21} / 2 \simeq \left( 1 - \frac{|\langle m \rangle|^2}{m_{\nu e}^2} \right) \frac{1}{\sin^2 2\theta_0}$$

The required accuracy in the determination of  $|\langle m \rangle|$  is affected by the uncertainty on the theoretical evaluation of the nuclear matrix elements.

Still there is a spread in  $M_{\text{nuclear}}$  which amounts up to a factor of 3 in the values of  $|\langle m \rangle|$  derived from  $T_{\nu}^{1/2}$ .

Hopefully (?) the nuclear matrix el. problems will be solved in the future: NSM, QRPA...

A spread of 1.5-2 might allow to get information on the Majorana CPV phases.

Due to the experimental errors on the parameters and nuclear matrix elements uncertainties, determining that CP is violated in the lepton sector due to Majorana CPV phases is very difficult. (Barger et al.; S.P., Petcov, Rodejohann)

However it is possible if: (S.P., Petcov, Rodejohann)

- i) an experimental error on  $|\langle m \rangle| < 15\%$ ;
- ii) a large value of  $\tan^2 \theta_{\odot} \gtrsim 0.55$ ;
- iii) in the QD spectrum, a high value of neutrino masses:  $m_{\bar{\nu}_e} \gtrsim 0.70$  eV or  $\Sigma \gtrsim 1.5$  eV and an experimental error smaller than  $(10 \div 15)\%$ ;
- iv)  $\alpha_{21,(32)} \sim (\pi/2 - 3\pi/4)$  or  $\alpha_{21,(32)} \sim (5\pi/4 - 3\pi/2)$ ;
- v) an uncertainty in the nuclear matrix elements which accounts to a factor  $\zeta$  in  $|\langle m \rangle|$ ,  $\zeta < 2$ .

Suppose  
that we have measured  
the low energy CP-violating  
phases,  $\delta$ ,  $\alpha_{21}$ ,  $\alpha_{31}$ ,  
what can we infer on  
the physics beyond  
the SM,

and, in  
particular,  
can we  
test the  
feasibility  
of

LEPTOGENESIS?

## THE SEE-SAW MECHANISM

We assume the existence of heavy right-handed Majorana neutrinos,  $\nu_R$ , singlets under  $SU(3) \times SU(2) \times U(1)$ , with Majorana mass  $M_R$ .

$$\mathcal{L}_\nu = -Y_\nu \bar{\nu}_R L \cdot H - \frac{1}{2} \bar{\nu}_R^c M_R \nu_R + \text{h.c.}$$

The vev of  $H^0$ ,  $v$ , provides a Dirac mass term:  
 $m_D = Y_\nu v$ .

mass matrix: 
$$\mathcal{M} = \begin{pmatrix} 0 & m_D \\ m_D^T & M_R \end{pmatrix}$$

which is diagonalized:  $\mathcal{M}_{H+m} = V \mathcal{M} V^T$ .

Eigenstates:

- $\nu = U^T \nu_L + \nu_L^c U^*$

Majorana field!

$$dm \cong - U^T \underbrace{Y_\nu^T M_R^{-1} Y_\nu}_{m_\nu} U v^2$$

- $N \cong \nu_R + \nu_R^c$   
 $D_N \cong M_R$

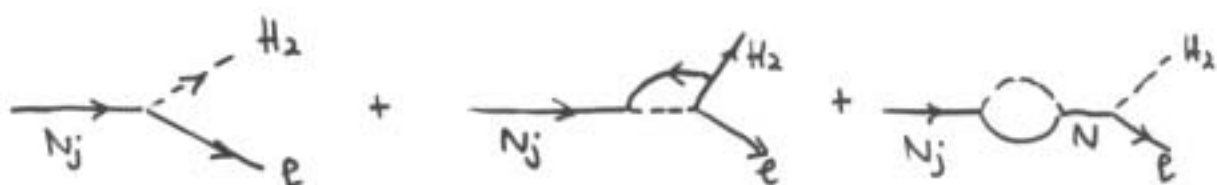


# LEPTOGENESIS

Fukugita, Yanagida  
Covi, Roulet, Vissani  
Buchmüller, Plumacher

The out-of-equilibrium decay of  $N_R$  can generate a lepton asymmetry which is then converted into  $Y_B$ .

The decay asymmetry is given by the interference of tree-level and one-loop diagrams:



$$\epsilon_i \equiv \frac{\Gamma(N_i \rightarrow e H) - \Gamma(N_i \rightarrow e^c H^c)}{\Gamma(N_i \rightarrow e H) + \Gamma(N_i \rightarrow e^c H^c)}$$

$$\approx -\frac{1}{8\pi} \frac{1}{(Y_\nu Y_\nu^\dagger)_{ii}} \sum_{i \neq j} \text{Im}(Y_\nu Y_\nu^\dagger)_{ij}^2 \left[ f\left(\frac{M_j^2}{M_i^2}\right) + g\left(\frac{M_j^2}{M_i^2}\right) \right]$$

$$f(x) = \sqrt{x} \left[ 1 - (1+x) \ln \frac{1+x}{x} \right] \quad \text{and} \quad g(x) = \frac{\sqrt{x}}{1-x}$$

The lepton asymmetry is

$$Y_L \equiv \frac{n_L - \bar{n}_L}{s} \propto \epsilon_i$$

$$Y_B = C Y_L \quad \text{where} \quad C \sim \mathcal{O}(1) \quad (C = -0.35 \text{ in MSSM})$$

# LFV $\ell$ -DECAYS: $\ell_i \rightarrow \ell_j \gamma$

Borzumati, Masiero  
Hall, Kostelecky,  
Hisano et al.  
Casas, Ibarra  
Tanimoto et al.

In the SM (+  $\nu$  masses and mixing)  
they are very much suppressed.

The supersymmetrization of the minimal  
see-saw model, contains new sources  
of  $L_i$ -violation:

$$W = W_0 - \frac{1}{2} \nu_R^{cT} M_0 \nu_R^c + \nu_R^c Y_\nu L \cdot H_2$$

$$-\mathcal{L}_{\text{soft}} = (m_L^2)_{ij} \tilde{L}_i^\dagger \tilde{L}_j + (m_{eR}^2)_{ij} \tilde{e}_{Ri}^\dagger \tilde{e}_{Rj} + \dots \\ + (A_{ij}^e H_d e_{Ri}^\dagger \tilde{L}_j + \dots + \text{h.c.})$$

Even assuming universality at  $M_X$  scale:

$$(m_L^2)_{ij} = (m_{eR}^2)_{ij} = (m_\nu^2)_{ij} = \delta_{ij} m_0^2$$

$$A^e = Y^e a_0 m_0$$

$\vdots$

the RG induce off-diagonal soft terms  
at low energy (in leading-log approx):

$$(m_L^2)_{ij} \cong -\frac{1}{8\pi} (3m_0^2 + A_0^2) (Y_\nu^\dagger Y_\nu)_{ij} \log \frac{M_X}{M_R}$$

The resulting BR for  $\ell_i \rightarrow \ell_j \gamma$  reads:

$$\text{BR}(\ell_i \rightarrow \ell_j \gamma) \sim \frac{\alpha^3}{G_F^2 m_0^2} \left| -\frac{1}{8\pi} (3m_0^2 + A_0^2) \log \frac{M_X}{M_R} \right|^2 \tan^2 \beta \left| (Y_\nu^\dagger Y_\nu)_{ij} \right|^2$$

# PARAMETRIZATIONS OF $Y_0$

- BIUNITARY PARAMETRIZATION:

Davidson, Ibarra  
Ellis et al.  
S.P., Petcov, Rodejohann

$$Y_0 = V_R^\dagger D_Y V_L$$

$$= \begin{pmatrix} 1 & & \\ & e^{i\alpha_2} & \\ & & e^{i\beta_2} \end{pmatrix} \tilde{V}_R^\dagger D_Y \begin{pmatrix} 1 & & \\ & e^{i\alpha_2} & \\ & & e^{i\beta_2} \end{pmatrix} \tilde{V}_L$$

2            1                            2            1             $\Rightarrow$  6 PHASES

- ORTHOGONAL PARAMETRIZATION:

Lasas, Ibarra  
Ellis et al.  
Branco et al.  
S.P., Petcov, Yasuda

$$-U^* d m U^\dagger \cong Y_0^T D_M Y_0 U^2$$

$$-U^* d m^{\frac{1}{2}} \underbrace{(d m^{\frac{1}{2}} U^\dagger)}_{=1} \cong Y_0^T D_M^{\frac{1}{2}} \underbrace{R R^T}_{=1} D_M^{\frac{1}{2}} Y_0 U^2$$

$$\Rightarrow Y_0 \cong i \frac{D_M^{\frac{1}{2}}}{U} R d m^{\frac{1}{2}} U^\dagger$$

$R$  is an complex orthogonal matrix:  $R = O e^{iA}$  (PPY)

with  $A = \begin{pmatrix} 0 & a & b \\ -a & 0 & c \\ -b & -c & 0 \end{pmatrix}$ ,  $e^{iA} = 1 - \frac{\cosh r - 1}{r^2} A^2 + iA \frac{\sinh r}{r}$   
 $r = \sqrt{a^2 + b^2 + c^2}$

- TRIANGULAR PARAMETRIZATION:

Branco et al.

$$Y_0 = Y_\Delta U_Y, \quad Y_\Delta = \begin{pmatrix} Y_{\Delta 1} & Y_{12} & Y_{13} \\ 0 & Y_{\Delta 2} & Y_{23} \\ 0 & 0 & Y_{\Delta 3} \end{pmatrix}$$

In the case of 3  $\nu_L$  and 3  $\nu_R$ :

- "high energy parameters"

$\Delta M$       3 real

$Y_\nu$       7 real       $9-3=6$  phases

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12 real

6 phases

- "parameters accessible at low energy"

$\Delta m$       3 real:  $\Delta m_{21}^2, \Delta m_{31}^2, m_1$

$U_{PMNS}$       3 angles:  $\theta_{12}, \theta_{13}, \theta_{23}$   
3 phases:  $\delta, \alpha_{21}, \alpha_{31}$

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9 parameters

9 parameters are missing of which 3 phases.

① LEPTOGENESIS

$$Y_\nu Y_\nu^\dagger = \phi^* \tilde{V}_R^\dagger D_Y^2 \tilde{V}_R \phi$$

$$\cong D_H^{\frac{1}{2}} R \frac{D_M}{\sigma^2} R^\dagger D_H^{\frac{1}{2}}$$

i  $R = R(\varphi_R)$

ii  $U_{\text{PMNS}}$  does not enter explicitly in leptogenesis !

② LFV  $\ell$ -DECAYS

$$Y_\nu^\dagger Y_\nu = \tilde{V}_L D_Y^2 V_L$$

$$\cong U D_M^{\frac{1}{2}} R^\dagger D_M R D_M^{\frac{1}{2}} U^\dagger \sigma^2$$

③  $m_\nu$

$$m_\nu \cong -V_L^\dagger D_Y V_R^* D_H^{-1} V_R^\dagger D_Y V_L$$

$$= U^* D_M U^\dagger$$

② and ③  $\implies U_{\text{PMNS}}(\varphi_R, \varphi_L, \varphi_W)$   
 $\uparrow$   
 leptogenesis !

There is no direct connection between leptogenesis and low energy CPV BUT many models allow for it.

# THE CASE OF A HIERARCHICAL SPECTRUM

S.P., Petcov, Rodejohann  
PRD

Assumptions:  $M_1 \ll M_2 \ll M_3$

$dy_1 \ll dy_2 \ll dy_3$

$S_{1L,R} \sim 10^{-1} \gg S_{2L,R} \sim 10^{-2} \gg S_{3L,R}$ .

$$Y_D = V_R^\dagger D_Y V_L \equiv \begin{pmatrix} -dy_2' S_{1L} S_{1R} & -dy_2' S_{1R} & dy_3' (S_{1R} S_{2R} - e^{i\delta_R} S_{3R}) \\ e^{-i\alpha_R} dy_2' S_{1L} & e^{-i\alpha_R} dy_2' & e^{-i\alpha_R} dy_3' S_{2R} \\ e^{-i(\beta_R + \delta_R - \delta_L)} dy_3' S_{3L} & e^{-i(\beta_R + \delta_R)} dy_3' S_{2L} & e^{-i(\beta_R + \delta_R)} dy_3' \end{pmatrix}$$

$$dy_2' = dy_2 e^{i\alpha_R}$$

$$dy_3' = dy_3 e^{i\beta_R}$$

— 0 —

LFV -  $\ell$  DECAYS.

$$\mu \rightarrow e \gamma : (Y_D^\dagger Y_D)_{12} \approx y_2^2 S_{1L}$$

$$\tau \rightarrow e \gamma : (Y_D^\dagger Y_D)_{13} \approx y_3^2 S_{3L} e^{i\delta_L}$$

$$\tau \rightarrow \mu \gamma : (Y_D^\dagger Y_D)_{32} = y_3^2 S_{2L}$$

$\Rightarrow$  Typically,  $BR(\tau \rightarrow \mu \gamma) \gg BR(\tau \rightarrow e \gamma) \gg BR(\mu \rightarrow e \gamma)$

## LEPTOGENESIS

$$\text{Im} (Y_\nu Y_\nu^\dagger)_{12}^2 \cong (y_2^2 + y_3^2 s_{2R}^2)^2 s_{1R}^2 \sin 2\alpha_R$$

$$\text{Im} (Y_\nu Y_\nu^\dagger)_{13}^2 \cong y_3^2 s_{1R}^2 s_{2R}^2 \sin 2(\beta_R + \delta_R)$$

$$\epsilon_i \cong -\frac{3}{16\pi} \left( (y_2^2 + s_{2R}^2 y_3^2) \sin 2\alpha_R \frac{M_1}{M_2} + \frac{y_3^4 s_{2R}^2}{y_2^2 + y_3^2 s_{2R}^2} \sin 2(\beta_R + \delta_R) \frac{M_1}{M_3} \right)$$

Having  $y_D \sim 6 \times 10^{-10}$  requires  $y_3 v = 10^2 \text{ GeV}$ ;  $M_2 \sim 10 M_1 \sim 10^{10} \text{ GeV}$

— o —

LOW - ENERGY CPV :  $\delta, \alpha_{21}, \alpha_{31}$

$$(\beta/\beta)_{\nu} : \langle m \rangle \cong (y_2^2 s_{1L}^2 e^{2i\alpha_W} \left( \frac{s_{1R}^2}{M_1} + \frac{\beta_i \alpha_R}{M_2} \right)) + \dots$$

$|\langle m \rangle|$  depends on  $\alpha_R$ , the leptogenesis phase.

$$\delta : J_{CP} \propto 10^{-2} \sin(\alpha_W - (\beta_W + \delta_L) + 2\alpha_R - 2(\beta_R + \delta_R))$$

No direct connection between the leptogenesis phases and  $\delta$  can be established.

# QUASI-DEGENERATE $\nu$ MASS-SPECTRUM

S.P., Petcov, Yaguna  
PLB

Assumptions:  $m_1 \approx m_2 \approx m_3 \gg \Delta m_{21}^2, \Delta m_{31}^2$

$D_M \approx M_R \cdot \mathbb{1} + \text{small deviations}$

We use the orthogonal parametrization:

$$Y_\nu \approx \frac{i}{\nu} D_M^{\frac{1}{2}} R D_{M\nu}^{\frac{1}{2}} U^\dagger = \frac{i}{\nu} D_M^{\frac{1}{2}} e^{iA} D_{M\nu}^{\frac{1}{2}} U^\dagger$$

## LEPTOGENESIS

$$Y_\nu Y_\nu^\dagger = \frac{D_M D_M}{\nu^2} e^{2iA}$$

$$\epsilon_1 = \epsilon_2 \approx \frac{1}{\pi} \frac{D_{M\nu} D_M}{\nu^2} \frac{abc}{M_1 - M_2}$$

$$\epsilon_3 \approx -\frac{2}{\pi} \frac{D_M D_{M\nu}}{\nu^2} \frac{abc}{M_1 - M_3}$$

$$\frac{n_B}{s} \approx 1.4 \times 10^{-8} \left( \frac{m_\nu}{0.1 \text{ eV}} \right) \left( -\frac{abc}{M_1 - M_2} \right) (B_r^{(1)} + B_r^{(2)})$$

$$\Rightarrow abc \approx 10^{-5}$$



# LFV $\ell$ -DECAYS:

$$Y_\nu^\dagger Y_\nu \sim \frac{D_M D_m}{J^2} (U e^{2iA} U^\dagger)$$

For real  $R$  ( $A=0$ ), the BR are strongly suppressed (Casas & Ibarra, Tanimoto et al.) for QD neutrinos.

$$(Y_\nu^\dagger Y_\nu)_R = \frac{D_M}{J^2} \left( U_{e2} U_{e2}^* \frac{\Delta m_0^2}{2D_m} + U_{e3} U_{e3}^* \frac{\Delta m_A^2}{2D_m} \right)$$

For  $R$  complex, there is an enhancement:

$$(Y_\nu^\dagger Y_\nu)_{12} \propto \frac{D_M d_m}{J^2} \left[ -d (c_{12}^2 e^{i\alpha_{21}} + s_{12}^2 e^{-i\alpha_{21}}) c_{23} - e^{i\alpha_{31}} s_{23} (b c_{12} + c s_{12} e^{-i\alpha_{21}}) \right]$$

Analogously for  $(Y_\nu^\dagger Y_\nu)_{13}$  and  $(Y_\nu^\dagger Y_\nu)_{23}$ .

$$R \text{ real } |(Y_\nu^\dagger Y_\nu)_{12}|^2 \sim \frac{M_R^2 m_\nu^2}{J^4} \begin{cases} 6 \times 10^{-6} & s_{13} = 0.2 \\ 1.4 \times 10^{-8} & s_{13} = 0 \end{cases}$$

$$R \text{ complex } |(Y_\nu^\dagger Y_\nu)_{12}|^2 \sim \frac{M_R^2 m_\nu^2}{J^4} \begin{cases} 0.34 & (a, b, c) = (0.2, -0.4, 0.5) \\ 0.81 & (a, b, c) = (0.4, 0.3, 0.2) \end{cases}$$

# CONCLUSIONS

- CPV IN THE LEPTON SECTOR IS PARAMETRIZED BY THE  $\delta$  PHASE AND 2 MAJORANA PHASES. THEY ARE MEASURABLE, IN PRINCIPLE, IN  $\nu$ -OSCILLATIONS ( $\delta$ ) AND  $\Delta L=2$  PROCESSES ( $\alpha_{21}, \alpha_{31}$ ).
- IN THE CONTEXT OF THE MINIMAL SEE-SAW MECHANISM, LEPTOGENESIS & LFV  $\ell$ -DECAYS MAY PROVIDE ADDITIONAL INFORMATION ON THE SEE-SAW PARAMETERS.
- -  $U_{PMNS}$  DOES NOT ENTER ESPLICITLY IN LEPTOGENESIS.
  - $U(\varphi_R, \varphi_L, \varphi_W)$  ( $\varphi_R \equiv \alpha_R, \beta_R, \delta_R$ , the leptogenesis phases)
  - THERE IS NO DIRECT CONNECTION BETWEEN  $\varphi_R$  AND  $\delta, \alpha_{21}, \alpha_{31}$  BUT MANY MODELS ALLOW FOR IT.