

Venice, 7 March 01

**MODELS  
OF  
ν MASSES AND MIXINGS**

**G. Altarelli**

**CERN**

**Our work on the subject:**

**G.A., F. Feruglio**

- Phys. Letters B439(1998)112
- JHEP 11(1998)021
- Phys. Letters B451(1999)388
- Phys. Rep. 320(1999)295<- A REVIEW
- hep-ph/0102301<- NEW

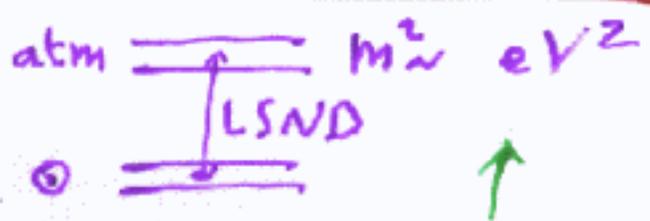
**G.A., F. Feruglio, I. Masina**

- Phys. Letters B472(2000)382
- JHEP 11(2000)040

THERE ARE MANY ALTERNATIVE MODELS

•  $\geq 4 \nu$ 's (LSND)

$\nu_{\text{STERILE}} ??$



HOT DARK MATTER

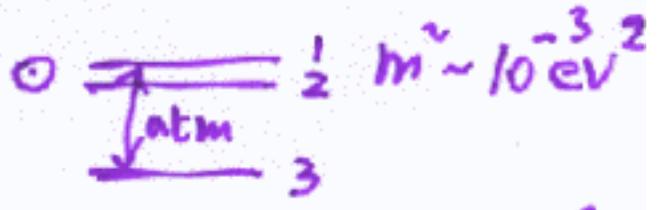
•  $3 \nu$ 's (NO LSND)

• DEGENERATE

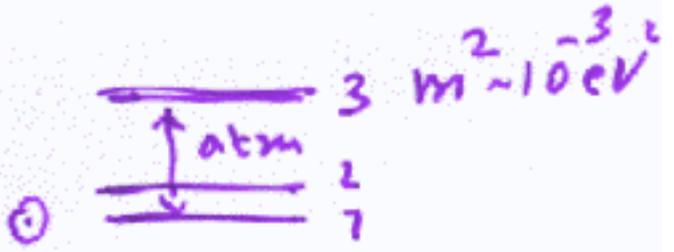


$0 \nu \beta \beta$  CLOSE TO LIMIT

• INVERSE HIERARCHY



• NORMAL HIERARCHY



I WILL ARGUE FOR THIS CASE

$m_\nu \sim m_{\text{Dirac}}^T M^{-1} m_{\text{Dirac}}$  DOMINANCE OF SELF-SAW

CONNECTION TO  $g, l$  MASSES VIA GUT'S

MODELS AND IDEAS

- $SU(5) \otimes U(1)$  HORIZONTAL MODELS
- FROM MINIMAL TO "REALISTIC"  $SU(5)$
- $SU(5)$  FROM EXTRA-DIMENSIONS

## Neutrino masses are very small!

- Direct limits
- Cosmological limits (hot dark matter)
- $\nu$  oscillation data



$$m_{\nu_i} \leq 1 - 2 \text{ eV}$$

or:  $m_\nu/m_e \leq 10^{-5}$ ,  $m_\nu/m_t \leq 10^{-11}$

Most appealing explanation:

$$m_\nu \sim m^2/M$$

$M$ : scale of L non conserv.  $\sim M_{\text{GUT}} - M_{\text{Pl}}$   
 $m \sim m_t \sim \nu \sim 200 \text{ GeV}$

Note:

$$m_\nu \sim (\Delta m_{\text{atm}}^2)^{1/2} \sim 0.05 \text{ eV}$$
$$m \sim \nu \sim 200 \text{ GeV}$$


$$M \sim 10^{15} \text{ GeV}$$

Neutrino masses are a probe of GUT physics!

## 2) OSCILLATIONS MEASURE $\Delta m^2$

$$\Delta m^2_{\text{atm}} \approx 3 \cdot 10^{-3} \text{ eV}^2$$

$$\Delta m^2_{\odot} \approx 10^{-5} \text{ eV}^2$$

### • DIRECT LIMITS :

$$\begin{cases} m_{\nu_e} \lesssim \sim 5 \text{ eV} \\ m_{\nu_\mu} \lesssim 170 \text{ KeV} \\ m_{\nu_\tau} \lesssim 18 \text{ MeV} \end{cases}$$

### • COSMOLOGY

$$\sum_i m_{\nu_i} \lesssim 6 \text{ eV} \quad [\Omega_\nu \lesssim 0.2]$$

↳ ALL  $\nu_i \leq 2 \text{ eV}$

WHY  $\nu$ 'S SO MUCH LIGHTER  
THAN  $q$  AND  $e^-$  ?

$$\mathcal{L}_\nu = L \bar{\nu}_R H + h.c. +$$

$$\underbrace{\quad}_{\rightarrow m_D = h\nu} \text{ (DIRAC)}$$

$$+ \bar{\nu}_R^T M_R \nu_R +$$

$$+ \bar{\nu}_L^T \frac{\lambda}{M_L} \nu_L H H$$

→ (MAJORANA)

$$\hookrightarrow m = \frac{\lambda \nu^2}{M_L}$$

SEE-SAW MECHANISM:

$$m = \begin{pmatrix} \nu_L & \\ & \nu_R \end{pmatrix} \begin{pmatrix} \frac{\lambda \nu^2}{M_L} & m_D \\ m_D & M_R \end{pmatrix}$$

$$|m_{\text{light}}| \approx \frac{m_D^2}{M_R} \div \frac{\lambda \nu^2}{M_L}$$

$$m_{\text{heavy}} \approx M_R$$

$$m^{\text{eff}} = \bar{\nu}_L^T m_{\text{light}} \nu_L$$

IN GENERAL BOTH  $O_5 \sim \nu_L^T \frac{\lambda^2}{M} \nu_L H H$

AND THE SEE-SAW MECHANISM

ARE OPERATIVE :

$$\nu_L^T m_\nu \nu_L = \nu_L^T m_D^T M^{-1} m_D \nu_L + \nu_L^T \frac{\lambda^2 \nu^2}{M} \nu_L$$

THE 2 TERMS HAVE THE SAME FORM, THE SAME TRANSF.

PROPERTIES UNDER  $\nu_L' = U \nu_L$ ,

BUT DIFFERENT ORIGINS

[e.g. in GUT'S  $m_D$  RELATED TO  $q \neq l$  DIRAC MASSES]

THEY CAN BE OF COMPARABLE OR OF VERY DIFFERENT SIZE

[e.g.  $1/M_{GUT}$  vs  $1/M_{pl}$ ]

$\nu_R$  is a heavy "sterile" neutrino:

sterile: no gauge int's  
 $\nu_R$  has: colour =  $t_3^W = Q = 0$

$\nu_L$  is a light "active" neutrino:

LEP:  $N_{\nu_L} = 3$

## Are there light sterile neutrinos?

-----> Is LSND signal true?

LSND + Solar + Atm. Oscill's

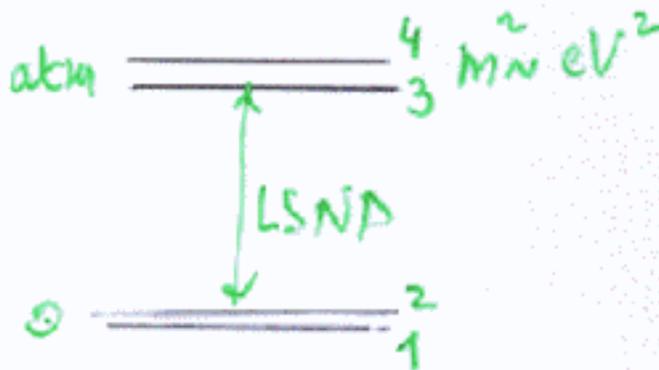
-----> at least 4 light  $\nu$ 's

LSND not double checked (MiniBoone)  
KARMEN did not confirm

Perhaps will fade away. But if right  
LSND + Solar + Atm ----->  $\geq 4$   $\nu$ 's  
or  $\geq 1$  light sterile  $\nu$ 's

## 4-V Models

Typical configuration (Bilenky et al; Barger et al; Gonzales-Garcia et al)



- Can be compatible with hot dark matter,  $m_{4,3} \sim 2 \text{ eV}$
- Pure two-neutrino  $\nu_e \leftrightarrow \nu_s$  oscill's disfavoured for solar

Viable alternatives:

- 6- $\rightarrow$ 4 mixing angles:  $\nu_e \leftrightarrow \nu_s + \nu_a$   
(a: active= $\mu, \tau$ ) for solar (Gonzales-Garcia et al; Fogli, Lisi)
- A K-K tower of sterile  $\nu_s$  (extra-dim models)

Since  $\nu_s$  mixings better be small  
(limits from weak processes, supernovae, nucleosynthesis)

the preferred solar neutrino solution is  
MSW-SA

## Sterile $\nu$ 's from extra dimensions

Context:

Large extra dimensions

Gravity propagates in all dim (bulk)

SM particles on a 4-dim brane

$$\begin{aligned}d &= n + 4 \\(m_s R)^n &= (M_p/m_s)^2 \\m_s &\sim \text{TeV}\end{aligned}$$

Assume 1 very large dim:  $1/R \leq 0.01 \text{ eV}$

+  $n-1$  smaller ( $1/\rho \geq \text{TeV}$ )

$$\begin{aligned}(m_s R) (m_s \rho)^{n-1} &= (M_p/m_s)^2 \\&\text{or} \\(m_s R) &= (M_p/m_s)^2\end{aligned}$$

$\nu_s$ : SUSY partners of gravitational moduli

(string th.)

Also propagate in the bulk

(Arani-Hamed et al; Benakli and Smirnov, Dvali and Smirnov, Faraggi and Pospelov, Mohapatra et al, Ioannisian and Pilaftis, Ioannisian and Valle, Barbieri et al, Lukas et al; Dienes and Sarcevic, Caldwell et al;

## Good Features

Caldwell et al. Mohapatra et al. Lukas et al

- A "physical" picture for  $\nu_s$ .
- $\nu_s$  has KK recurrences:

$$\nu_s(x,y) = 1/\sqrt{R} \sum_n \nu_s^{(n)}(x) \cos(ny/R)$$

with:  $m_{\nu_s} = n/R$

and mixes with L:

$$h(m_s/M_p) L \nu_s^{(n)} H$$

[the suppression factor  $(m_s/M_p)$  is automatic from the bulk volume!]

- Interference among a few KK states make spectrum compatible with solar data

$$P(\nu_e \rightarrow X) = \sum_n m_e^2 / (M_e^2 + n^2/R^2)$$

$$1/R \sim 10^{-2} - 10^{-3} \text{ eV}$$
$$R \sim 10^{-3} - 10^{-2} \text{ cm: very close to limits!!}$$

## Problems

- GUT's? Connection with GUT's?
- What forbids (on the brane)

$$1/m_s L^T \lambda L H H \quad ??$$

Recall that  $m_s$  is small  $\sim$  TeV

- $\nu_e, \nu_\mu, \nu_\tau$  ??
- Only 1 large extra dim has problems (linear evolution of couplings from 0.01 eV to TeV) Antoniadis, Bachas; Arkani Hamed et al  
But more large extra dim

$$\begin{aligned} P(\nu_e \rightarrow X) &= \sum_n m_e^2 / (M_e^2 + n^2/R^2) \\ &= \int m_e^2 n^{d-1} dn / (M_e^2 + n^2/R^2) \end{aligned}$$

High KK states do not decouple fast enough, mixing large.  
Compromise  $d=2$ ?

# 3-V Models

Possible configurations

Degenerate

Hierarchical

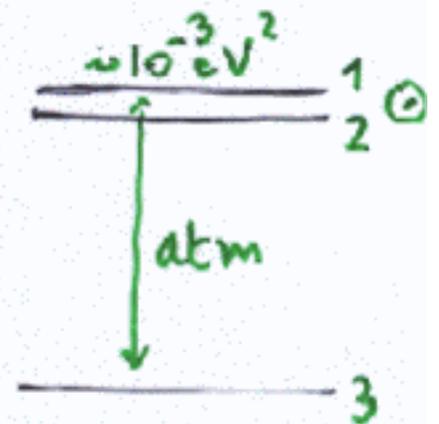
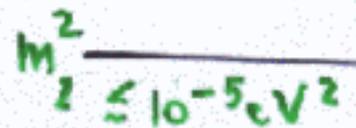
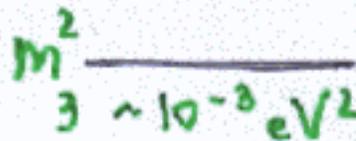
Inverted



$$m \gg \Delta m_i$$

$m \approx 2 \text{ eV}$   
for HDM

OVERB CLOSE  
TO EXP. LIMIT



NO HDM

THE U MATRIX

- 3 FLAVOURS
  - 2 FREQUENCIES
  - NO  $e \leftrightarrow \mu$  FOR  $\Delta V_{atm}$  (CHOOZ)
  - MAXIMAL MIXING FOR  $\Delta V_{atm}$
- } CAN BE RELAXED IN 2<sup>nd</sup> APPROX.

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} c & -s \\ s/\sqrt{2} & c/\sqrt{2} \\ s/\sqrt{2} & c/\sqrt{2} \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

$\swarrow \sin \theta$   
 $\searrow$

$\downarrow \nu_{e3} = 0$  CHOOZ  
 $\uparrow$  MAXIMAL  $\Delta V_{atm}$  MIXING

NOTE: ~~CP~~ NEGLECTED (U REAL)

• SOME SIGNS ARE CONVENTIONAL

$$\begin{cases} P(\nu_e \leftrightarrow \nu_\mu) = P(\nu_e \leftrightarrow \nu_\tau) = \frac{1}{2} \sin^2 2\theta \sin^2 \Delta_{sun} \\ P(\nu_\mu \leftrightarrow \nu_\tau) = \sin^2 \Delta_{atm} - \frac{1}{4} \sin^2 2\theta \sin^2 \Delta_{sun} \end{cases}$$

$$\Delta_{sun} = \frac{m_2^2 - m_1^2}{4E} L \qquad \Delta_{atm} = \frac{m_3^2 - m_{1,2}^2}{4E} L$$

$U$  IS ANALOGOUS TO  $V_{CKM}$

$\Rightarrow$  SAME GENERAL FORM

e.g. MAIANI

$$U = \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13} e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13} e^{i\delta} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix}$$

$$= \begin{bmatrix} c_{12} c_{13} & s_{12} s_{13} & s_{13} e^{-i\delta} \\ \dots & \dots & s_{23} c_{23} \\ \dots & \dots & c_{23} c_{13} \end{bmatrix}$$

FOR:  $s_{13} \sim 0$ ,  $s_{23} = s_{\gamma} \sim \frac{1}{\sqrt{2}}$ ,  $s_{12} = s$ ,  $\delta \sim 0$   
 $c_{23} = c_{\gamma} \sim \frac{1}{\sqrt{2}}$ ,  $c_{12} = c$

$$U = \begin{bmatrix} c & -s & 0 \\ s c_{\gamma} & c c_{\gamma} & -s_{\gamma} \\ s s_{\gamma} & c s_{\gamma} & c_{\gamma} \end{bmatrix}$$

FOR  $s_{\gamma} = c_{\gamma} = \frac{1}{\sqrt{2}}$   
 SAME AS ABOVE  
 APART FROM SIGNS  
CONVENTION

MOST GENERAL  $M_{\nu}$

$$m_{\nu} \sim m_D^T M^{-1} m_D \sim U \begin{bmatrix} e^{i\varphi_1} m_1 & & \\ & e^{i\varphi_2} m_2 & \\ & & m_3 \end{bmatrix} U^T$$

$\uparrow$   $L^T m_{\nu} L$        $\uparrow$   $R^T m_D L$

9 NEW PARAM'S ADDED TO SM: 3 MASSES  
3 MIXINGS  
3 PHASES

GIVEN  $| \nu_\alpha \rangle = U_{\alpha i} | \nu_i \rangle$

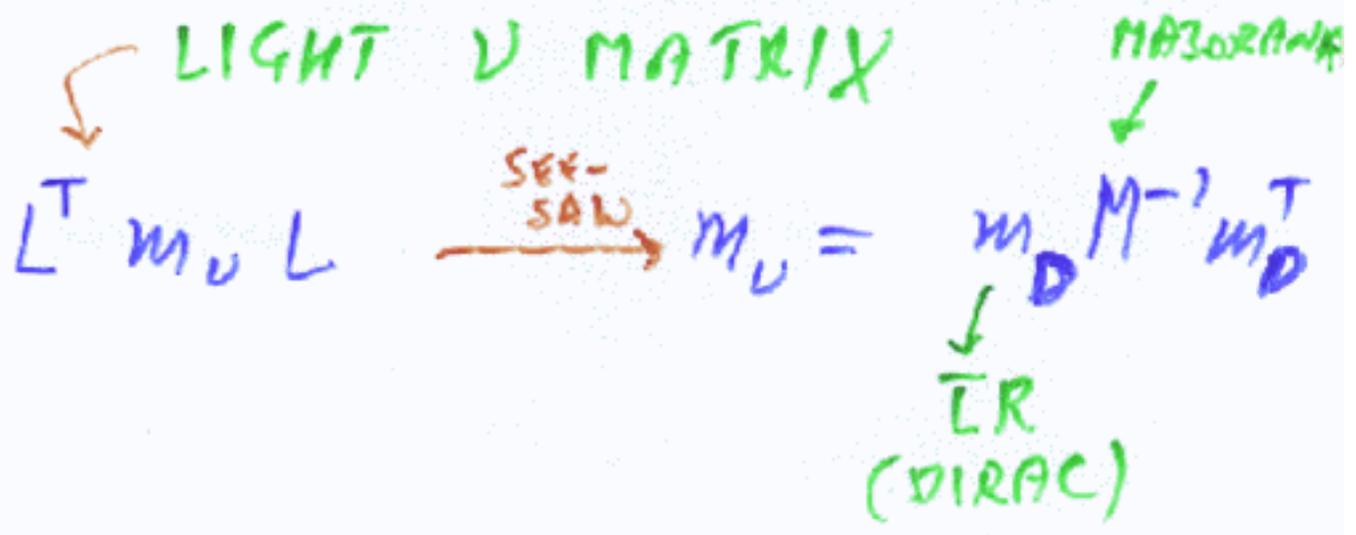
AND  $m_\nu^{\text{diag}} = \begin{pmatrix} m_1 & & \\ & m_2 & \\ & & m_3 \end{pmatrix}$

THEN  $M_\nu = U m_\nu^{\text{diag}} U^T$

$$M_\nu = \begin{bmatrix} m_1 c^2 + m_2 s^2 & (m_1 - m_2) \frac{cs}{\sqrt{2}} & (m_1 - m_2) \frac{cs}{\sqrt{2}} \\ \dots & \frac{m_3}{2} + \frac{m_1 s^2}{2} + \frac{m_2 c^2}{2} & \dots \\ \dots & -\frac{m_3}{2} + \frac{m_1 s^2}{2} + \frac{m_2 c^2}{2} & \frac{m_3}{2} + \frac{m_1 s^2}{2} + \frac{m_2 c^2}{2} \end{bmatrix}$$

THIS IS IN BASIS WHERE  $m_\ell$  DIAGONAL  
 NOTE:  $M_\nu$  IS SYMMETRIC CHARGED LEPTONS

$M_\nu$  IS THE EFFECTIVE LIGHT  $\nu$  MATRIX



FOR EXAMPLE : ASSUME  $|m_3| \gg |m_{1,2}|$

BY NEGLECTING SMALL TERMS OF ORDER  $m_{1,2}/m_3$  :

$$M_\nu^{\text{diag}} \approx \begin{pmatrix} 0 & & \\ & 0 & \\ & & m_3 \end{pmatrix}$$

$$M_\nu = U M_\nu^{\text{diag}} U^T = \text{IN BASIS WHERE } M_\nu^{\text{D}} \text{ DIAGONAL}$$

$$= \frac{m_3}{2} \begin{pmatrix} 0 & 0 & 0 \\ 0 & 1 & -1 \\ 0 & -1 & 1 \end{pmatrix}$$

$\hookrightarrow \det[23] = 0$

NOTE: THIS IS INDEPENDENT OF  $\theta$   
(BIMIXING :  $\theta \approx \frac{1}{\sqrt{2}}$ , MSW :  $\theta$  SMALL)

SIGN CONVENTIONAL :  $\begin{pmatrix} 0 & 0 & 0 \\ 0 & 1 & 1 \\ 0 & 1 & 1 \end{pmatrix}$  ALSO OK!

$$U = \begin{bmatrix} c & -s & 0 \\ s/\sqrt{2} & c/\sqrt{2} & -1/\sqrt{2} \\ s/\sqrt{2} & c/\sqrt{2} & 1/\sqrt{2} \end{bmatrix}$$

BASIS OF  $M_e^D$  DIAGONAL  
 $M_\nu = U \text{Diag } U^T$

$|m_3\rangle \gg$   
 $|m_{1,2}\rangle$

$|m_1| \approx |m_2|$   
 $\gg |m_3|$

$|m_1| \approx$   
 $\approx |m_2| \approx$   
 $\approx |m_3|$

	$m_{diag}$	double maximal mixing $S = \frac{1}{\sqrt{2}}$	single maximal mixing $S = 0$
A	Diag[0,0,1]	$\begin{bmatrix} 0 & 0 & 0 \\ 0 & 1/2 & -1/2 \\ 0 & -1/2 & 1/2 \end{bmatrix}$	$\begin{bmatrix} 0 & 0 & 0 \\ 0 & 1/2 & -1/2 \\ 0 & -1/2 & 1/2 \end{bmatrix}$
B1	Diag[1,-1,0]	$\begin{bmatrix} 0 & 1/\sqrt{2} & 1/\sqrt{2} \\ 1/\sqrt{2} & 0 & 0 \\ 1/\sqrt{2} & 0 & 0 \end{bmatrix}$	$\begin{bmatrix} 1 & 0 & 0 \\ 0 & -1/2 & -1/2 \\ 0 & -1/2 & -1/2 \end{bmatrix}$
B2	Diag[1,1,0]	$\begin{bmatrix} 1 & 0 & 0 \\ 0 & 1/2 & 1/2 \\ 0 & 1/2 & 1/2 \end{bmatrix}$	$\begin{bmatrix} 1 & 0 & 0 \\ 0 & 1/2 & 1/2 \\ 0 & 1/2 & 1/2 \end{bmatrix}$
C1	Diag[-1,1,1]	$\begin{bmatrix} 0 & -1/\sqrt{2} & -1/\sqrt{2} \\ -1/\sqrt{2} & 1/2 & -1/2 \\ -1/\sqrt{2} & -1/2 & 1/2 \end{bmatrix}$	$\begin{bmatrix} -1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}$
C2	Diag[1,-1,1]	$\begin{bmatrix} 0 & 1/\sqrt{2} & 1/\sqrt{2} \\ 1/\sqrt{2} & 1/2 & -1/2 \\ 1/\sqrt{2} & -1/2 & 1/2 \end{bmatrix}$	$\begin{bmatrix} 1 & 0 & 0 \\ 0 & 0 & -1 \\ 0 & -1 & 0 \end{bmatrix}$
C3	Diag[1,1,-1]	$\begin{bmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{bmatrix}$	$\begin{bmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{bmatrix}$

G.A., F. FERUGLIO II

Table I : Zeroth order form of the neutrino mass matrix for double and single max mixing, according to the different possible hierarchies given in eq. (6).

G.A., F. Feruglio I hep-ph/9807353 PL B439(1998)112  
 II /9809596 JHEP 79(1998)21  
 III /9812475

## Degenerate $\nu$ 's

- Compatible with hot dark matter  
( $m \sim 2 \text{ eV}$ )
- Limits on  $m_{ee}$  from  $0\nu\beta\beta$  imply double maximal mixing (bimixing) for atmospheric and solar oscill's:

Vissani; Georgi, Glashow.

$$m_{ee} = m_1 \cos^2 \theta_{12} + m_2 \sin^2 \theta_{12}$$

$m_{ee} \leq 0.3-0.5 \text{ eV}$  (exp) needs

$$m_1 = -m_2$$

and

$$\cos^2 \theta_{12} \sim \sin^2 \theta_{12}$$

$$\sin^2 2\theta_{12} > 0.99$$

$$\cos^2 \theta_{12} - \sin^2 \theta_{12} < 0.1$$

- For naturalness  $\Delta m/m$  cannot be too small  
(e.g. vacuum sol.  $\Delta m/m \sim 10^{-11}$ )  
MSW-LA would be preferred in this respect. But is  $\theta_{12}$  sufficiently maximal?

FOR  $|m_1| \sim |m_2| \sim |m_3| \sim 1 \text{ eV}$

ONLY ONE POSSIBILITY

**BIMIXING!** (C1 EQUIVALENT C2)

	$m_{diag}$	double maximal mixing	single maximal mixing
A	Diag[0,0,1]	$\begin{bmatrix} 0 & 0 & 0 \\ 0 & 1/2 & -1/2 \\ 0 & -1/2 & 1/2 \end{bmatrix}$	$\begin{bmatrix} 0 & 0 & 0 \\ 0 & 1/2 & -1/2 \\ 0 & -1/2 & 1/2 \end{bmatrix}$
B1	Diag[1,-1,0]	$\begin{bmatrix} 0 & 1/\sqrt{2} & 1/\sqrt{2} \\ 1/\sqrt{2} & 0 & 0 \\ 1/\sqrt{2} & 0 & 0 \end{bmatrix}$	$\begin{bmatrix} 1 & 0 & 0 \\ 0 & -1/2 & -1/2 \\ 0 & -1/2 & -1/2 \end{bmatrix}$
B2	Diag[1,1,0]	$\begin{bmatrix} 1 & 0 & 0 \\ 0 & 1/2 & 1/2 \\ 0 & 1/2 & 1/2 \end{bmatrix}$	$\begin{bmatrix} 1 & 0 & 0 \\ 0 & 1/2 & 1/2 \\ 0 & 1/2 & 1/2 \end{bmatrix}$
C1	Diag[-1,1,1]	$\begin{bmatrix} 0 & -1/\sqrt{2} & -1/\sqrt{2} \\ -1/\sqrt{2} & 1/2 & -1/2 \\ -1/\sqrt{2} & -1/2 & 1/2 \end{bmatrix}$	<del><math>\begin{bmatrix} -1 &amp; 0 &amp; 0 \\ 0 &amp; 1 &amp; 0 \\ 0 &amp; 0 &amp; 1 \end{bmatrix}</math></del>
C2	Diag[1,-1,1]	$\begin{bmatrix} 0 & 1/\sqrt{2} & 1/\sqrt{2} \\ 1/\sqrt{2} & 1/2 & -1/2 \\ 1/\sqrt{2} & -1/2 & 1/2 \end{bmatrix}$	<del><math>\begin{bmatrix} 1 &amp; 0 &amp; 0 \\ 0 &amp; 0 &amp; -1 \\ 0 &amp; -1 &amp; 0 \end{bmatrix}</math></del>
C3	Diag[1,1,-1]	<del><math>\begin{bmatrix} 1 &amp; 0 &amp; 0 \\ 0 &amp; 0 &amp; 1 \\ 0 &amp; 1 &amp; 0 \end{bmatrix}</math></del>	<del><math>\begin{bmatrix} 1 &amp; 0 &amp; 0 \\ 0 &amp; 0 &amp; 1 \\ 0 &amp; 1 &amp; 0 \end{bmatrix}</math></del>

Table I : Zeroth order form of the neutrino mass matrix for double and single maximal mixing, according to the different possible hierarchies given in eq. (6).

• For degenerate  $\nu$ 's see-saw dominance is unlikely:

See-saw:  $m_\nu = m_D^T M^{-1} m_D$

We expect  $m_D$  to be hierarchical as for  $q$  &  $l$   
(conspiracy between  $m_D$  and  $M$  unpalatable)

More likely:

Degenerate  $\nu$ 's from dim-5 operators

$$1/M L^T \lambda L H H$$

unrelated to  $m_D$  and  $q$  &  $l$ .

• For  $m \sim 2$  eV,  $\nu \sim 200$  GeV,  $\lambda \sim 1$ :

$$M \sim 10^{13} \text{ GeV} \quad \text{Somewhat low?}$$

A MODEL WHICH IS SIMPLE TO STATE BUT DIFFICULT TO REALIZE

Fritzsch, Xing

ASSUME THAN IN 1st APPROX.

$m_{q, l^-} \sim \begin{pmatrix} 1 & 1 & 1 \\ 1 & 1 & 1 \\ 1 & 1 & 1 \end{pmatrix} \xrightarrow[\text{DIAG.}]{U} \begin{pmatrix} 0 & & \\ & 0 & \\ & & 3 \end{pmatrix}$

$\uparrow$  LR DIRAC "DEMOCRATIC"  $\rightarrow S_L \times S_R$  SYMMETRY

IN SAME BASIS FOR  $\nu$ 'S

$\downarrow$  PHASES NEEDED FOR  $\nu\nu\beta\beta$

$|m_\nu| = a \begin{pmatrix} 1 & & \\ & 1 & \\ & & 1 \end{pmatrix} + b \begin{pmatrix} 1 & & \\ & 1 & \\ & & 1 \end{pmatrix}$

LFL MAJORANA BOTH ALLOWED BY  $S_L$

~~ASSUME NEGLIGIBLE~~

THEN IN BASIS WHERE  $\rho$  DIAGONAL [BY IMPOSING  $\chi = 0$ ]

$m'_\nu = U m_\nu U^T$

$U = \begin{pmatrix} \frac{1}{\sqrt{2}} & & 0 \\ \frac{1}{\sqrt{6}} & \frac{1}{\sqrt{2}} & \\ \frac{1}{\sqrt{3}} & \frac{1}{\sqrt{6}} & \frac{1}{\sqrt{2}} \end{pmatrix}$

APPROX. BIMIXING

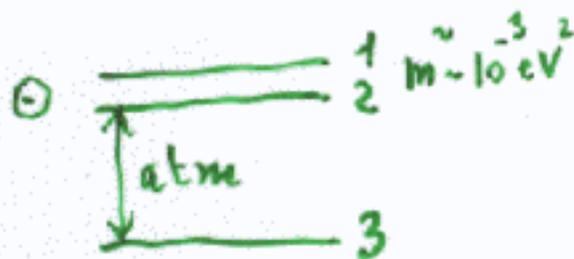
$\sin^2 2\theta_{atm} =$   
 $= 4s^2 c^2 = 4 \frac{1}{6} \frac{1}{3}$   
 $= 8/9$

## Inverted hierachy

Joshi-pura et al; Mohapatra et al; Jarlskog et al;  
Frampton and Glashow; Barbieri et al.....; Zee

Provides interesting models for bimixing.

$$U = \begin{pmatrix} 1/\sqrt{2} & -1/\sqrt{2} & 0 \\ 1/2 & 1/2 & -1/\sqrt{2} \\ 1/2 & 1/2 & 1/\sqrt{2} \end{pmatrix}$$



$$m_{\text{diag}} = M \begin{pmatrix} 1 & & \\ & -1 & \\ & & 0 \end{pmatrix}$$

$$U m_{\text{diag}} U^{\dagger} = 1/\sqrt{2} \begin{pmatrix} 0 & M & M \\ M & 0 & 0 \\ M & 0 & 0 \end{pmatrix} \text{ (in flavour basis)}$$

- From dim-5  $L^T L H H$
- Approximate  $L_e - L_{\mu} - L_{\tau}$  symmetry.
- 1-2 degeneracy stable under rad. correct's.
- Prefers VO for solar, but could be comp. with MSW-LA (is mixing large enough?)

OR LOW

## Hierarchical neutrinos

- Assume 3 widely split light neutrinos
- $SO(10) \rightarrow \nu_R$  + assume see-saw dominant:

$$m_\nu \sim m_D^T M^{-1} m_D$$

Maximally constraining: Gut's relate  $q, l, \nu$  masses!!

- For  $u, d, l$  Dirac mass matrices:  
the 3<sup>rd</sup> generation eigenv. dominant.
- It is natural to assume this is also true for  $m_D^\nu$ :  $\text{diag } m_D^\nu \sim (0, 0, m_3)$
- After see-saw,  $m_\nu \sim m_D^T M^{-1} m_D$ , in general will be even more hierarchical:  
fine tuned compensation between  $m_D$  and  $M$  unlikely.

- A possible problem:

We need both large  $m_3$ - $m_2$  splitting and large mixing in 2-3 sector.

$$m_3 \sim (\Delta m_{\text{atm}}^2)^{1/2} \sim 5 \cdot 10^{-2} \text{ eV}$$

$$m_2 \sim (\Delta m_{\text{sol}}^2)^{1/2} \sim \begin{matrix} 2 \cdot 10^{-3} \text{ eV} & - & 10^{-5} \text{ eV} \\ \text{(MSW)} & & \text{(VO)} \end{matrix}$$

- The "theorem" that large  $\Delta m_{32}$  implies small mixing (pert. th.:  $\theta_{ij} \sim 1/|E_i - E_j|$ ) is not true in general:

All we need is  $(\text{sub})\det[23] \sim 0$

**NOTE:** for MSW-LA the splitting could be by a factor of  $\sim 10$  only: a factor of 3 in  $m_D$  easily becomes a factor of 10 in

$$m_\nu \sim m_D^T M^{-1} m_D$$

## Example

$$m_{23} \sim \begin{bmatrix} x^2 & x \\ x & 1 \end{bmatrix}$$

$$\text{Det}[m_{23}] \sim 0$$

$$\text{Eigenvalues: } 0, 1+x^2$$

$$\text{Mixing: } \sin^2(2\theta) = 4x^2/(1+x^2)^2$$

For  $x \sim 1$  large splitting and large mixing!

So we need mechanisms for  $\text{Det}[m_{23}] \sim 0$   
automatic

# MECHANISMS FOR $\text{Det}[23] \sim 0$

$$m_\nu = m^T M^{-1} m$$

① A  $\nu_R$  IS LIGHTEST AND COUPLED TO  $\mu$  &  $\tau$  : King, Akhmed, Babienko

$$M \sim \begin{pmatrix} E & \\ & 1 \end{pmatrix} \rightarrow M^{-1} \sim \begin{pmatrix} 1/E & \\ & 1 \end{pmatrix} \approx \begin{pmatrix} 1/E_0 & \\ & 1 \end{pmatrix}$$

$$m_\nu \sim \begin{pmatrix} a & b \\ c & d \end{pmatrix} \begin{pmatrix} 1/E_0 & \\ & 1 \end{pmatrix} \begin{pmatrix} a & c \\ b & d \end{pmatrix} \approx \frac{1}{E} \begin{pmatrix} a^2 & ac \\ ac & c^2 \end{pmatrix}$$

② M GENERIC, BUT  $M \sim \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & x & 1 \end{pmatrix}$

$$M^{-1} \sim \begin{pmatrix} a & b & c \\ b & d & e \\ c & e & f \end{pmatrix}$$

$$m_\nu \approx f \begin{pmatrix} 0 & 0 & 0 \\ 0 & x^2 & x \\ 0 & x & 1 \end{pmatrix}$$

S.A., F. Feruglio

- Hierarchical neutrinos and see-saw dominance

$$m_\nu \sim m_D^T M^{-1} m_D$$

allow to relate  $q$ ,  $l$ ,  $\nu$  masses and mixings-->  
--> **GUT's models**

- The correct pattern of masses and mixings, also including  $\nu$ 's, is obtained in simple models based on

$$SU(5) \times U(1)_{\text{horizontal}}$$

- $SO(10)$  models are often more predictive, but are based on specific textures from a set of special operators

ALBRIGHT, BARR

BUCCELLA et al

BAGU et al

# IMPORTANT HINT FROM SU(5)

LEFT-HANDED QUARKS: SMALL MIXINGS

$$V_{CKM} = U_u^\dagger U_d \approx \begin{bmatrix} 1 & \lambda & A\lambda^3(\rho - i\eta) \\ -\lambda & 1 & A\lambda^2 \\ A\lambda^3(1 - \rho - i\eta) & -A\lambda^2 & 1 \end{bmatrix}$$

$\lambda \approx \sin\theta_c \approx 0.22$   
 $A \approx 0.8, \sqrt{\rho^2 + \eta^2} \approx 0.4$

BUT RIGHT-HANDED QUARKS CAN HAVE LARGE MIXINGS (UNKNOWN)

IN SU(5) LH FOR  $d$  QUARKS  
 $\Leftrightarrow$  RH FOR  $l^-$  LEPTONS

$$\bar{5} : \left( \underbrace{\bar{d} \bar{d} \bar{d}}_R \quad \underbrace{\nu e^-}_L \right)$$

$$m_D^d \sim \bar{d}_R d_L \sim 10$$

$$m_D^l \sim \bar{l}_R l_L \sim 5$$

$$m_D^l = (m_D^d)^T !!$$

CANNOT BE EXACT

$$m_d = m_e^T$$

FOR EIGENVALUES IMPLIES:

$$\frac{m_d}{m_e} = \frac{m_s}{m_\mu} = \frac{m_b}{m_\tau} = 1 \quad \text{AT GUT SCALE}$$

$m_b = m_\tau$  AT  $M_{GUT}$  IS OK!

RUNNING BY RENORM. GROUP

$$\frac{m_b}{m_\tau}(M_{GUT}) = 1 \longrightarrow \frac{m_b}{m_\tau}(\text{few GeV}) \approx 3$$

BUT  $\frac{m_d}{m_e} = \frac{m_s}{m_\mu}$  AT  $M_{GUT}$  IS BAD

CAN BE CORRECTED BY ADDING  $H_{45}$

$$m_e^T = m_{H_5} - 3 m_{H_{45}} \quad \text{Georgi, Jarlskog}$$

$$m_d = m_{H_5} + m_{H_{45}}$$

PROBLEM: AVOID SPOILING  $m_b = m_\tau$

OR BY ADDING SMALL NON REN. TERMS

# GENERATION OF TEXTURES: →

## → HORIZONTAL U(1) CHARGES

MANY PAPERS

Froggatt, Nielsen 179

A GENERIC MASS TERM:

$$\bar{R}_1 m_{12} L_2 H$$

FORBIDDEN

IF  $q_1 + q_2 + q_H \neq 0$

{	$q_1$	U(1)	CHARGE	OF	$\bar{R}_1$
	$q_2$	"	"	"	$L_2$
	$q_H$	"	"	"	$H$

BUT

$$\bar{R}_1 m_{12} L_2 H \left( \frac{\theta}{M} \right)^{q_1 + q_2 + q_H}$$

ALLOWED IF  $q_\theta = -1$

$$\text{vev } \theta = w, \quad \frac{w}{M} = \lambda \quad \text{SMALL}$$

$$m_{12} \rightarrow m_{12} \lambda^{q_1 + q_2 + q_H}$$

SO MORE U(1) CHARGE MISMATCH →  
→ MORE SUPPRESSION

THERE CAN BE SEVERAL  $\theta$ 's  
e.g. DIFFERENT  $\lambda, \lambda'$  FOR  
 $q_1 + q_2 > 0$  ( $\lambda$ ) OR  $< 0$  ( $\lambda'$ )

# EXAMPLE: SU(5) MULTIPLETS WITH U(1) CHARGES

G.A., F. Feruglio  $\curvearrowright$  SUSY SU(5)  
PLB 451 (1995) 388

U(1) CHARGES:  $\left\{ \begin{array}{l} 10_i: [3, 2, 0] \\ \bar{5}_i: [3, 0, 0] \\ 1_i: [1, -1, 0] \end{array} \right.$

Higgs:  $H(5), H(\bar{5})$   
WITH U(1) CH. = 0

+  $\Theta, \bar{\Theta}$  SU(5) SINGULETS OF CH. -1 AND +1

$\langle \Theta \rangle \sim \lambda$

$$m_u = m_u^T = \begin{bmatrix} \lambda^6 & \lambda^5 & \lambda^3 \\ \lambda^5 & \lambda^4 & \lambda^2 \\ \lambda^3 & \lambda^2 & 1 \end{bmatrix} \nu_u; \quad m_d = \begin{bmatrix} \lambda^6 & \lambda^5 & \lambda^3 \\ \lambda^3 & \lambda^2 & 1 \\ \lambda^3 & \lambda^2 & 1 \end{bmatrix} \nu_d$$

$10_i: 10_j$                        $\bar{5}_i: 10_j$

(EACH ENTRY MULTIPLIED BY O(1) COEFF.)

$$m_d = m_e^T; \quad m_{\nu} = \begin{bmatrix} \lambda^4 & \lambda & \lambda \\ \lambda^2 & \lambda' & \lambda' \\ \lambda^3 & 1 & 1 \end{bmatrix} \nu_{\bar{u}}$$

$1_i: \bar{5}_j$

$$M = \begin{bmatrix} \lambda^2 & 1 & \lambda \\ 1 & \lambda'^2 & \lambda' \\ \lambda & \lambda' & 1 \end{bmatrix} \bar{M}$$

$1_i: 1_j$                        $\lambda' = \frac{\langle \bar{\Theta} \rangle}{M_{pe}}$

$$V_{CKM}^{ij} \sim \lambda^{9_{10i} - 9_{10j}}$$

$$m_u : m_c : m_t \sim \lambda^6 : \lambda^4 : 1 \quad V_{us} \sim \lambda$$

$$m_d : m_s : m_b \sim \lambda^6 : \lambda^2 : 1 \quad V_{ub} \sim \lambda^3$$

$$m_e : m_\mu : m_\tau \sim \lambda^6 : \lambda^2 : 1 \quad V_{cb} \sim \lambda^2$$

$\lambda \approx \sin^2 \theta_c$

## AFTER SEE-SAW AND DIAG'N OF CHARGED LEPTONS (FOR $\lambda \sim \lambda'$ )

$$m_\nu \sim \begin{bmatrix} \lambda^6 & \lambda^3 & \lambda^3 \\ \lambda^3 & 1 & 1 \\ \lambda^3 & 1 & 1 \end{bmatrix} \frac{v_u^2}{M}$$

THIS IS CONSISTENT WITH THE  $\bar{5}_i$  CHARGES  $[3, 0, 0]$  ( $m_\nu \sim \bar{5}_i \cdot \bar{5}_j$ )

Det [23]  $\sim o(\lambda^2)$  AUTOMATICALLY!

EIGENVALUES:  $m_1 : m_2 : m_3 = \lambda^4 : \lambda^2 : 1$

$$\hookrightarrow \lambda^4 \approx \frac{m_2^2}{m_3^2} \sim \frac{\Delta m_{21}^2 \sim \text{MSW}}{\Delta m_{31}^2} \sim 3 \cdot 10^{-3} \sim (0.05)^2$$

INDEED  $\lambda \sim \sin \theta_c$  FOR MSW-SA

ALTERNATIVE  $\lambda' = 0$

$$m_1 : m_2 : m_3 = \lambda^3 : \lambda^3 : 1$$

$$m_1^2 - m_2^2 \sim o(\lambda^9)$$

$$\frac{\theta_{12}}{c_{12}} \sim \frac{\pi}{4} + o(\lambda^3)$$

$\lambda \sim \sin \theta_c$  FOR LOW, VO

# A SUSY GUT BENCHMARK MODEL

SHOULD POSSESS THE PROPERTIES

## ● COUPLING UNIFICATION

- NO EXTRA LIGHT HIGGS DOUBLETS
- $M_{GUT}$  THRESHOLDS UNDER CONTROL (NOT TOO LARGE REPRESENTS)

## ● DOUBLET-TRIPLET SPLITTING: NATURAL

- $SU(5)$ :  $5, \bar{5}, (24), 50, \bar{50}, 75$
- $SU(10)$ :  $(DW)$ :  $10, 10', 16, 16', 45$  } HIGGS

● CORRECT MASSES AND MIXINGS FOR  $q, l$  AND  $\nu$ 'S

IN PARTICULAR:  $m_b = m_\tau$  at  $M_{GUT}$   
BUT  $m_s \neq m_\mu, m_d \neq m_e$

● COMPATIBLE WITH  $P$  DECAY

(NEEDS  $\sim 0.1$  FINE TUNING IN COUPLINGS)

Babu, Pati, Wilczek  
Albright, Barr.  
G.A., Ferrara, Masina

A REALISTIC SU(5) @ U(1)<sub>Q</sub> MODEL

G.A., Feruglio, Masina JHEP 11(2000)040

D-T SPLITTING : MISSING PARTNER MECHANISM STABILIZED BY U(1)<sub>Q</sub>

	$\gamma$	$H$	$\bar{H}$	$H_{50}$	$\bar{H}_{50}$	$X$
SU(5)	75	5	$\bar{5}$	50	$\bar{50}$	1
Q	0	-2	1	2	-1	-1

Masina, Tamvakis; Namopoulos, Tenagida;  
Berezin, Tarantukhin & etc; ....

$X$  : SU(5) 1      THE ONLY Q-CHARGED FIELD WITH  $\langle X \rangle$  LARGE  
           Q = -1      FIELD WITH  $\langle X \rangle$  LARGE

SU(5)  $\longrightarrow$  SU(3) @ SU(2) @ U(1)  
 75  $\longleftarrow$   $\langle \gamma \rangle \sim M_{GUT}$

- EXACT SUSY :
- DOUBLETS MASSLESS
  - $M_{TRIPLET} \sim \frac{\langle \gamma \rangle^2}{\langle X \rangle}$
  - $H \bar{H} X^m Y^n$  FORBIDDEN BY U(1)<sub>Q</sub> ( $m, n \geq 0$ )

~~SUSY~~ :  $\langle X \rangle \sim \Lambda \ll M_{WT OFF}$

# COUPLING UNIFICATION

MINIMAL SUSY-SM(5)

$$\alpha_5(m_Z) \approx 0.13 \pm 0.01$$

SOMEWHAT LARGE

LARGE THRESHOLD CORA'S FROM THE SPLITTED 75 MULTIPLET INDUCE LARGE DECREASE OF  $\alpha_5(m_Z)$

(50, 50 NOT SPLIT)

MINIMAL :  $m_T$  LOW TO DECREASE  $\alpha_5$

REALISTIC :  $m_T$  HIGH TO INCREASE  $\alpha_5$

$$m_T \Big|_{\text{REALISTIC}} \approx 20-30 m_T \Big|_{\text{MINIMAL}}$$

GOOD FOR  $\rho$  DECAY :

SUPPRESSION OF RATE BY 400-900!!

DUE TO 50, 50, 75 SU(5) NO MORE ASYMPT. FREE UP TO  $M_{\text{Pl}}$

$\alpha_5$  BLOWS UP  $\Lambda \sim 20-30 M_{\text{GUT}}$

NOT NECESSARILY A BAD FEATURE.



DETERMINED BY  $U(1)_Q$  CHARGES

$$Q(10) = (4, 3, 1)$$

$$Q(H) = -2$$

$$Q(\bar{5}) = (4, 2, 2)$$

$$Q(\bar{H}) = 1$$

$$Q(1) = (1, -1, 0)$$

$$Q(X) = -1$$

$$\hookrightarrow \lambda = \langle X \rangle / \Lambda \approx \lambda_c \approx 0.22$$

FIRST APPROX. (NO  $\gamma$  INSERTIONS)

$$m_U = \begin{bmatrix} \lambda^6 & \lambda^5 & \lambda^3 \\ \lambda^5 & \lambda^4 & \lambda^2 \\ \lambda^3 & \lambda^2 & 1 \end{bmatrix} \nu_u ; \quad m_D = m_c^T = \begin{bmatrix} \lambda^5 & \lambda^3 & \lambda^3 \\ \lambda^4 & \lambda^2 & \lambda^2 \\ \lambda^2 & 1 & 1 \end{bmatrix} \nu_d \lambda^4$$

$$m_D^{\nu} = \begin{bmatrix} \lambda^3 & \lambda & \lambda^2 \\ \lambda & 0 & 1 \\ \lambda & 0 & 1 \end{bmatrix} \nu_u ; \quad M_{RR} = \begin{bmatrix} \lambda^2 & 1 & \lambda \\ 1 & 0 & 0 \\ \lambda & 0 & 1 \end{bmatrix} M$$

QUARKS:  $m_u, m_d, V_{CKM} \approx 0k$   
 $\tan \beta \approx 0(1)$  NICE FOR  $P$  DECAY

CHARGED LEPTONS:  $m_d = m_c^T \leftrightarrow 10 \bar{5} \bar{H}$   
 BROKEN BY:  $\frac{1}{\Lambda} 10 \bar{5} \bar{H} \gamma$

# PREDICTIONS FOR $\nu$ 'S

$$\left\{ \begin{array}{l} \theta_{23} \sim \frac{\pi}{4} \quad (\text{atm.}) \\ \theta_{12} \sim \frac{\pi}{4} \quad (\text{Ge}) \\ \theta_{13} \sim 0.05 \end{array} \right.$$

PREFER LOW OR NO SOLUTIONS  
OF  $\nu_{\odot}$

# PROTON DECAY

# HIGGS TRIPLET EXCHANGE

$$W(\Delta B = \pm 1) = \frac{1}{m_T} \left[ Q \hat{A} Q Q \hat{C} L + U^c \hat{B} E^c U^c \hat{D} D^c \right]$$

WITH RESPECT TO MINIMAL  $SU(5)$ :

- LARGER  $m_T$  BY FACTOR 20-30
- EXTRA TERMS

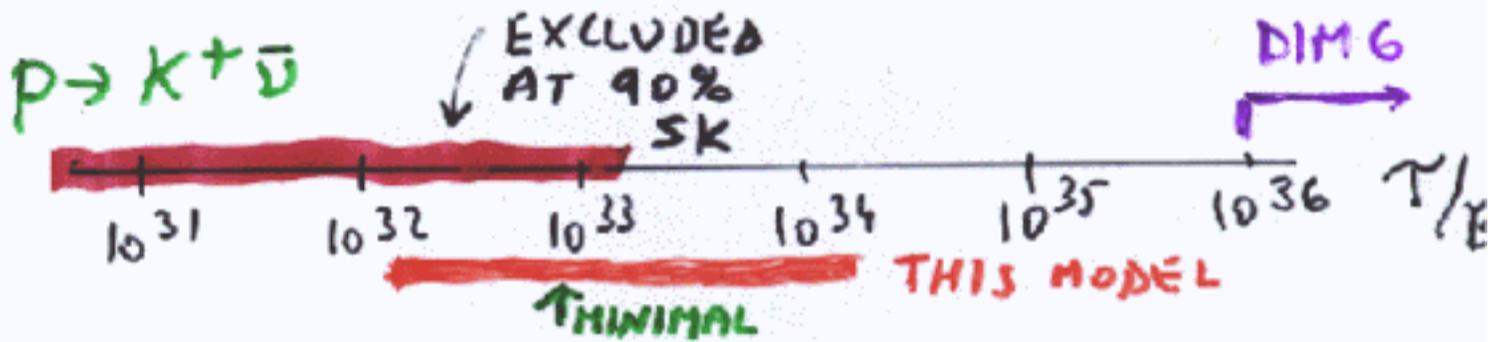
FOR EXAMPLE: ↙ CONstrained BY MASSES

NOT ONLY  $10 G_u 10 5$  BUT ALSO

$10 G_{\bar{5}_0} 10 \bar{5}_0$  IS ALLOWED

↑ FREE FROM MASS CONSTRAINTS  $\langle \bar{5}_0 \rangle = 0!$

## RESULTS



SIMILARLY FOR  $p \rightarrow \pi^+ \bar{u}$

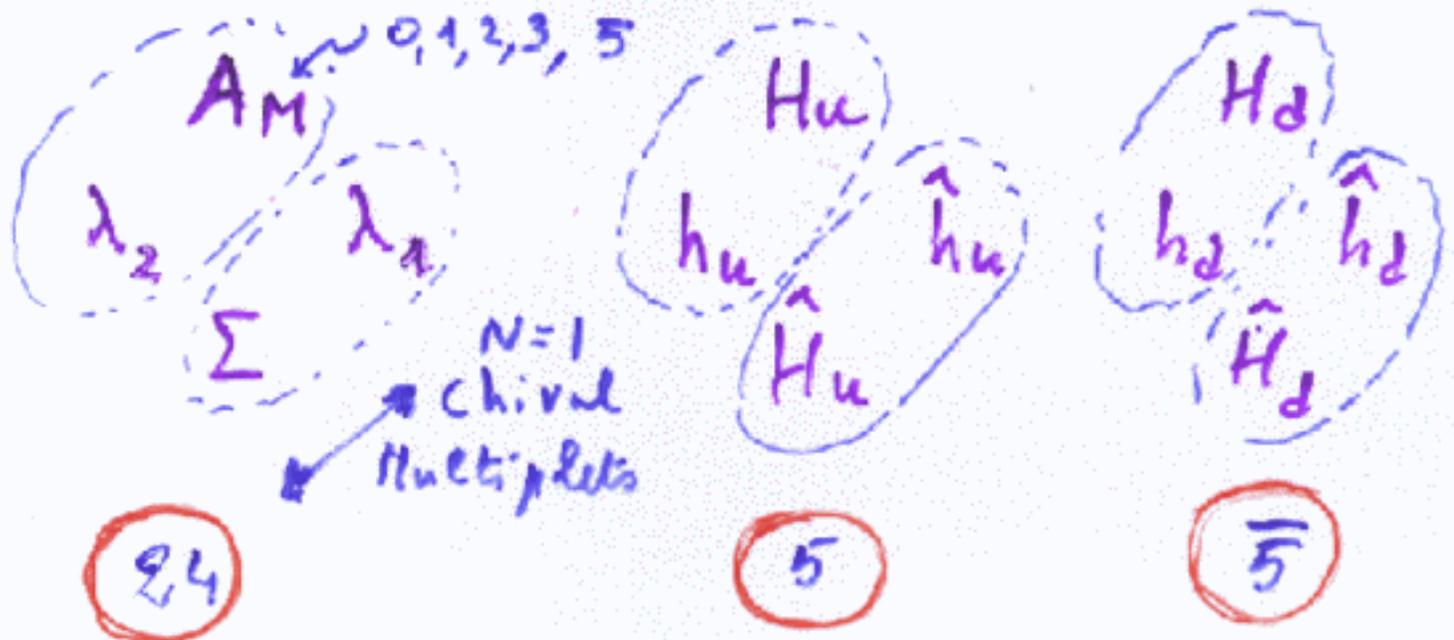
# SU(5) FROM EXTRA DIMENSIONS

Fayet; Kawamura;

G.A., F. Feruglio, hep-ph/0102301

- IN 5 DIM:  $N=2$  SUSY + SU(5)

Gauge  $24 + 5 + \bar{5}$  Higgs IN BULK  
 ( $N=2$  HYPERMULTIPLETS)



- COMPACTIFICATION BY  $S/(Z_2 \times Z_2')$

$$1/R \sim M_{GUT}$$

$$N=2 \text{ SUSY } SU(5) \Rightarrow N=1 \text{ SUSY } 3 \otimes 2 \otimes 1$$

- WE LIVE ON  $x_5 \equiv y = 0$  BRANE

$$\psi_{\bar{5}}, \psi_{10}, \psi_1$$

$$Z_2 \rightarrow P: \quad y \leftrightarrow -y$$

$$Z_2' \rightarrow P': \quad y' \leftrightarrow -y' \quad y' = y + \frac{\pi R}{2}$$

$$(or \quad y \rightarrow -y - \pi R)$$



ONLY  $\phi_{++}^{(A)}(x)$  MASSLESS

$$\left\{ \begin{aligned} \phi_{++}(x^\mu, y) &= \sqrt{\frac{2}{\pi R}} \sum_n \phi_{++}^{(2n)}(x) \cos \frac{2ny}{R} \\ \phi_{+-}(x^\mu, y) &= \sqrt{\frac{2}{\pi R}} \sum_n \phi_{+-}^{(2n+1)}(x) \cos \frac{2n+1}{R} y \\ \phi_{-+}(x^\mu, y) &= \sqrt{\frac{2}{\pi R}} \sum_n \phi_{-+}^{(2n+1)}(x) \sin \frac{2n+1}{R} y \\ \phi_{--}(x^\mu, y) &= \sqrt{\frac{2}{\pi R}} \sum_n \phi_{--}^{(2n+2)}(x) \sin \frac{2n+2}{R} y \end{aligned} \right.$$

**BULK FIELDS:**

P	P'	Field	M
+	+	$A_\mu^a, \lambda_2, H_u^D, H_d^D$	$\frac{2n}{R}$
+	-	$A_\mu^{\hat{a}}, \lambda_2^{\hat{a}}, H_u^T, H_d^T$	$\frac{2n+1}{R}$
-	+	$A_5^{\hat{a}}, \Sigma^{\hat{a}}, \lambda_1^{\hat{a}}, \hat{H}_u^T, \hat{H}_d^T$	$\frac{2n+1}{R}$
-	-	$A_5^a, \Sigma^a, \lambda_1^a, \hat{H}_u^D, \hat{H}_d^D$ ( $H = H + h$ )	$\frac{2n+2}{R}$

$\vec{5}$ -doublet  
 $\vec{3}$ -triplet

P: BREAKS  
 $N=2 \rightarrow N=1$   
 SUSY

P': BREAKS  
 $su(5)$

$$\left\{ \begin{aligned} P T^a P &= T^a \\ P' T^{\hat{a}} P' &= -T^{\hat{a}} \end{aligned} \right.$$

NOTE  $\mathcal{O}_5 = (-, -)$   $T^a$ : generators of  $3 \oplus \bar{2} \oplus 1$

$A_m^{a(0)}$ ,  $\lambda_2^{a(0)}$  MASSLESS  
 $N=1$  MULTIPLY

$A_m^{a(2n)}$  EAT  $\partial_5 A_5^{a(2n)}$   
AND BECOME MASSIVE ( $n > 0$ )  
etc.

NO BAROQUE 24 HIGGS TO  
BREAK SU(5)

DOUBLET-TRIPLET SPLITTING  
AUTOMATIC AND NATURAL

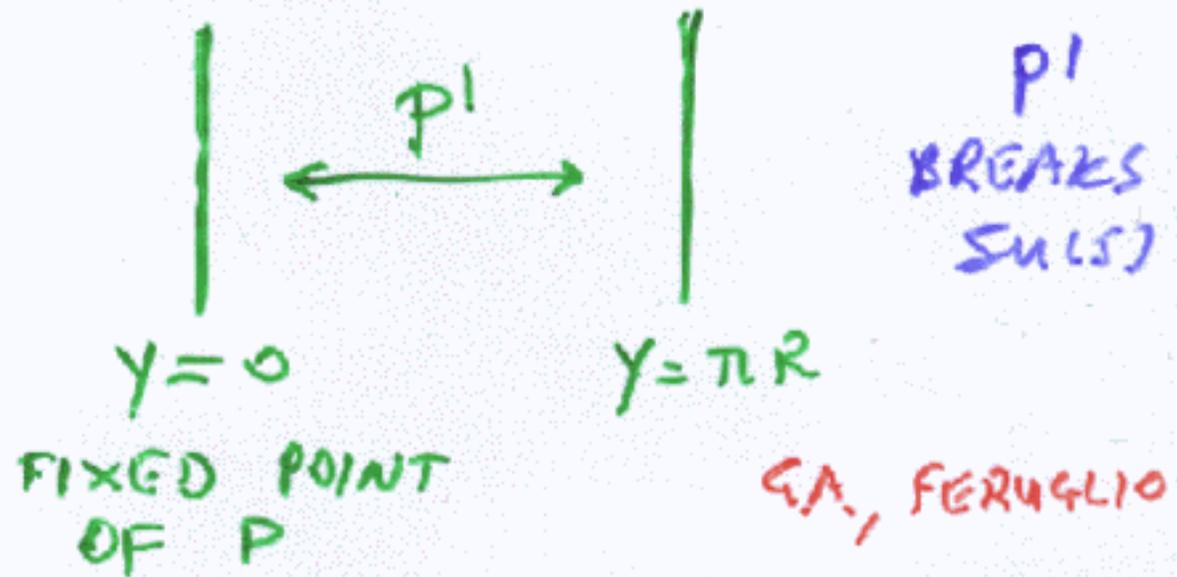
$H_{u,d}^{D(0)}$  MASSLESS

$H_{u,d}^{T(0)}$  MASS  $1/R \sim M_{GUT}$

(NO HIGGS MASS TERM ALLOWED  
IN BULK BY  $N=2$  SUSY.)

$\Psi_{10}, \Psi_5, \Psi_1$  CHIRAL MULTIPLETS  
LIVE ON BRANE  $y=0$   
(OR  $y=\pi R$ )

(ALL COMPONENTS MASSLESS:  
NO KK RECURRENCES)



$P$ : BREAKS  $N=2$  SUSY  
BUT PRESERVES SUSY

$N=1$  SUSY COUPLINGS  
AT  $y=0$

EFFECTIVE  
4 DIM  
ACTION

$$S = \int dy [\delta(y) + \delta(y-\pi R)] W(y)$$

ODD TERMS UNDER  $P^1$  VANISH!!

$$W(\gamma) = y_u 10 10 H_5 + y_d 10 \bar{5} H_{\bar{5}} + y_e 10 \bar{5} \bar{5} + \dots$$

$$\hookrightarrow W_{\text{mass}} = \gamma_u Q U^c H_u^D + \gamma_d Q D^c H_d + \gamma_e L E^c H_e^D$$

MUST BE ALLOWED:  $Q, U^c, D^c$  SAME P, P' PARITIES  
 $L, E^c$

P-DELAY  $H_u^T H_d^T$  ALLOWED  
 $QQ H_u^T, U^c D^c H_d^T$  FORBIDDEN  
 $QL H_d^T, U^c E^c H_u^T$

P DELAY IN GENERAL SUPPRESSED, BUT CAN BE FORBIDDEN BY:

$Q, U^c, D^c$  + +  
 $L, E^c (, U^c)$  + -

DIM 5  $[QQQL]_F, [U^c U^c D^c E^c]_F$

DIM 6  $[QQU^c E^c]_D, [U^c D^c QL]_D$

$QD^c L, LE^c L$  ALL FORBIDDEN!

$$W^{(4)} = 2 \int d\gamma \delta(\gamma) \left[ \gamma_d (QD^c H_d^D + LE^c H_e^D + QL H_d^T) + \gamma_u (QU^c H_u^D + U^c E^c H_u^T) + \gamma_R U^c D^c D^c \right]$$

## $\nu$ MASSES ALLOWED:

$$\left\{ \begin{array}{ll} \text{DIRAC} & L \nu^c H_u^D + D^c \nu^c H_u^T \\ \text{MAJORANA} & M_R \nu^c \nu^c \end{array} \right. \quad \text{ALLOWED}$$

→ SEE-SAW OK!

$$\frac{\lambda}{M_L} L^T L H_d^D H_d^D \quad \text{ALLOWED}$$

$$m_d = m_e^T \quad \text{PRESERVED}$$

GOOD QUALITATIVE POTENTIAL  
OF  $SU(5)$  GUT'S FOR  $\nu$ 'S  
MAINTAINED.

## Conclusion

- Many crucial questions to be answered by experiments, e.g.

LNSD: true or false

Which solar  $\nu$  solution?

How maximal is maximal mixing?.....

- Pending these questions many inventive models and elegant speculative solutions have been proposed.

- But the simplest and most constraining possibility is

3 hierarchical  $\nu$ 's

Dominance of see-saw

$$m_\nu \sim m_D^T M^{-1} m_D$$

(relation with  $q, l$  Dirac masses)

GUT models [SU(5), SO(10)...]

- Viable models in SU(5) using  $m_d = m_l^T$