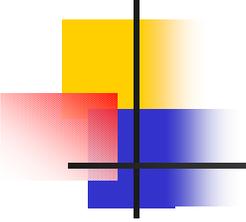


# Neutrino Physics

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Neutrino physics is largely an art of  
learning a great deal by observing nothing

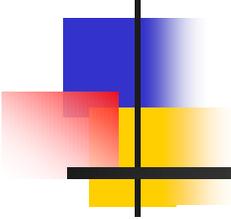
Haim Harari, 1988



# Outline

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- 1) Cenni storici (dalla scoperta della radioattività alla scoperta del neutrino tau)
- 2) Fasci di neutrini ed esperimenti per la loro rivelazione
- 3) Neutrini massivi, oscillazioni di neutrino a 2 e 3 sapori, l'effetto MSW e tipologie di esperimenti per la ricerca di oscillazioni di neutrino
- 4) Neutrini solari e KamLAND
- 5) Neutrini atmosferici
- 6) Esperimenti con neutrini prodotti ai reattori (CHOOZ e Paloverde) e ad acceleratori di media energia (LSND e KARMEN)
- 7) "Physics potential" dei futuri esperimenti per la ricerca di oscillazioni di neutrino: dal programma CNGS ai beta-beams
- 8) Metodi per la misura assoluta della massa del neutrino: decadimento beta, tempi di volo con neutrini prodotti da supernovae, decadimento doppio beta senza neutrini, osservazioni cosmologiche



# From the discovery of the radioactivity to the discovery of the neutrino

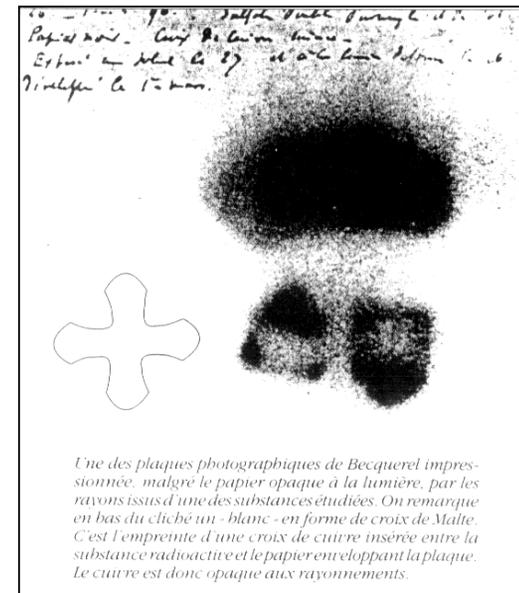
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It is difficult to find a case where the word  
“intuition” characterises a human achievement  
better than in the case of the neutrino  
invention by Pauli

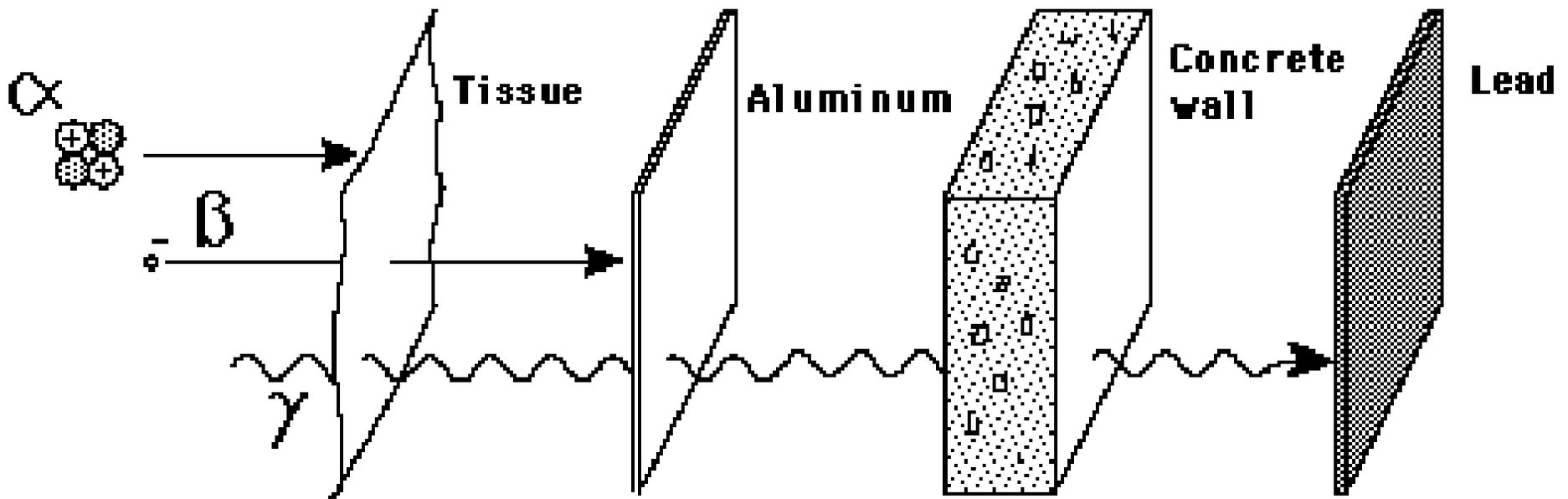
Bruno Pontecorvo, 1980

# The discovery of the radioactivity

- ✓ In 1895 W. Roentgen discovered X-rays
- ✓ In 1896 H. Becquerel discovered “by chance” that uranium salts emit a new type of radiation (le rayon uraniques)
- ✓ In a series of experiments, from 1897-1902, Rutherford, Chadwick, Curie and Villard show that the emitted radiations are of three types:  $\alpha$  radiation (helium nuclei),  $\beta$  radiation (electrons) and  $\gamma$  radiation (very energetic photons)



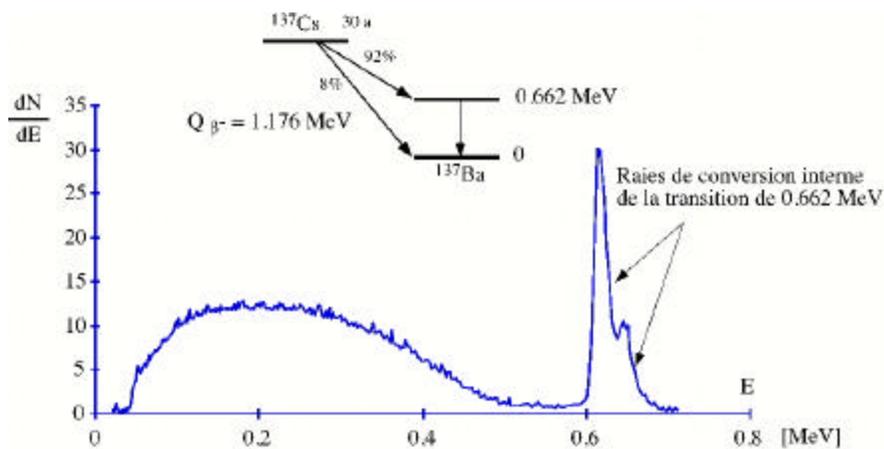
# Penetrating power of "rayon uranique"



# The continuous $\beta$ spectrum

At that time the common believe was that the electron is emitted alone in a  $\beta$  decay  $\Rightarrow$  mono-energetic electron

**BUT** several experiments confirmed the continuous spectrum of electrons emitted in a  $\beta$  decay

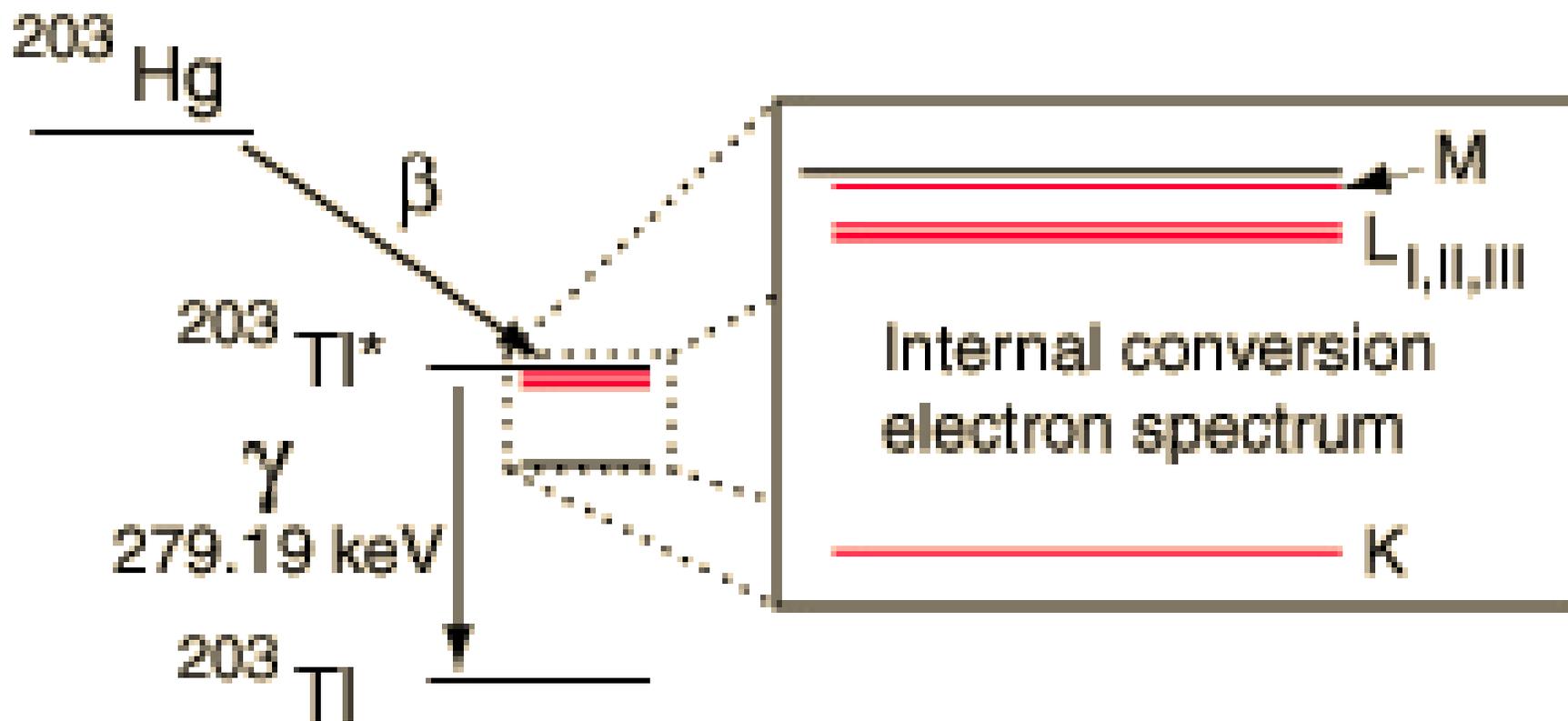


Spectre d'énergie des bêta mesuré à l'IPHE

**Meitner theory:**

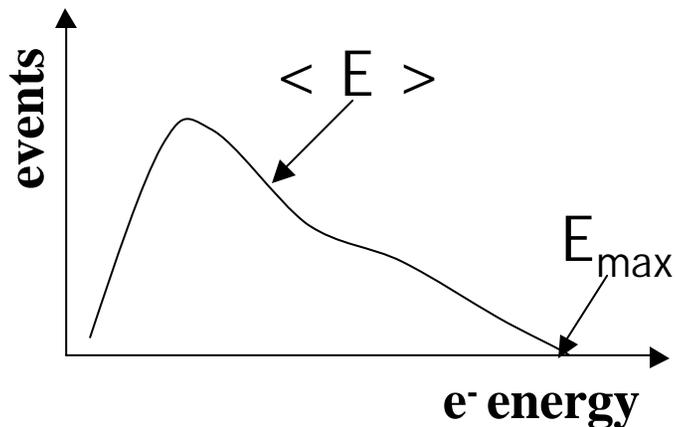
Electrons are emitted with a single energy, but velocities are modified by some "secondary causes"

# Internal conversion e spectrum



# A crucial experiment to solve the $\beta$ spectrum puzzle

AIM measure the total energy associated with a single  $\beta$  decay



## Two scenarios

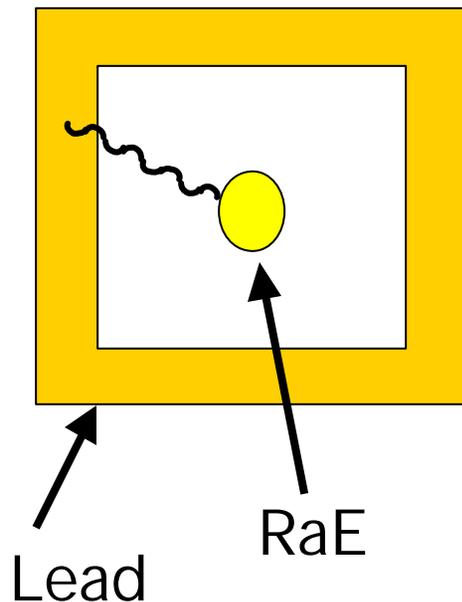
- I. The electrons start with a unique energy and lose velocities by "secondary causes"  
 $\Rightarrow$  total energy =  $E_{\max}$
- II. The electrons emerge with a whole range of energies  
 $\Rightarrow$  after numerous decays one should measure  $\langle E \rangle$

Authors J. Ellis and W. Wooster

# Ellis and Wooster experiment

Proc. Roy. Soc. (A) 117 (1927) 109

**Principle** measure the absolute heat in the absorption of  $e^-$

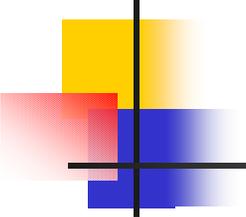


A source of RaE was located inside a lead container and the absolute T measured

$$E_{\text{meas}} = 0.344 \pm 0.034 \text{ MeV}$$

well below the maximum of the RaE  $\beta$  spectrum which is about 1MeV and consistent with its average value

**The  $\beta$  spectrum is continuous!**



# Two possible explanations for the continuous $\beta$ spectrum

✓ **Niels Bohr** Non conservation of the energy

"... at the present stage of atomic theory, however, we may say that we have no argument, either empirical or theoretical, for upholding the energy principle in the case of  $\beta$  decay, are even led to complications in trying to do so. Of course, a radical departure from this principle would imply strange consequences..."

✓ **Wolfgang Pauli** "A desperate way out"

"... there could exist in the nucleus electrically neutral particles, which I shall call *neutronen*, which have spin  $\frac{1}{2}$  and satisfy the exclusion principle and which are further distinct from light-quanta in that they move with light velocity. The mass of the *neutronen* should be of the same order of magnitude as the electron mass and in any case not larger than 0.01 proton mass. The continuous  $\beta$  spectrum would then become understandable from the assumption that in  $\beta$  decay a *neutronen* is emitted along with the electron, in such a way that the sum of the energies of the *neutronen* and the electron is constant."

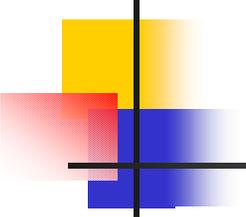
# Offener Brief an die Gruppe der Radioaktiven bei der Gauvereins-Tagung zu Tübingen

4th December 1930

Dear Radioactive Ladies and Gentlemen,

As the bearer of these lines, to whom I graciously ask you to listen, will explain to you in more detail, how because of the "wrong" statistics of the N and Li<sup>6</sup> nuclei and the continuous beta spectrum, I have hit upon a desperate remedy to save the "exchange theorem" of statistics and the law of conservation of energy. Namely, the possibility that there could exist in the nuclei electrically neutral particles, that I wish to call neutrons, which have spin 1/2 and obey the exclusion principle and which further differ from light quanta in that they do not travel with the velocity of light. The mass of the neutrons should be of the same order of magnitude as the electron mass and in any event not larger than 0.01 proton masses. The continuous beta spectrum would then become understandable by the assumption that in beta decay a neutron is emitted in addition to the electron such that the sum of the energies of the neutron and the electron is constant.



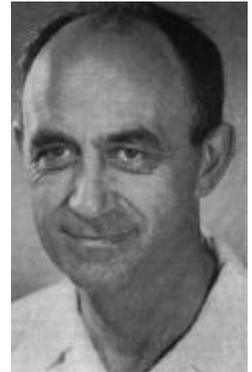


# From the neutron discovery to the Fermi theory

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- ✓ In 1932 J. Chadwick discovered a new neutral particle, but too heavy to be identified with the *neutronen*
- ✓ Nevertheless it gave the hint to rethink the nucleus structure which was imagined as containing neutrons and protons
- ✓ If the *neutronen* is not the particle discovered by Chadwick, how to explain the  $\beta$  decay?
- ✓ In 1933 P.A.M. Dirac introduced, with the Quantum ElectroDynamics, the fundamental concept of creation and destruction of a particle

# The Fermi theory of $\beta$ decay



The basic assumptions of the Fermi theory (1933) are

- A neutral particle (called *neutrino* by Fermi) is emitted along with the  $e^-$  in  $\beta$  decay.
- The nucleus consists of protons and neutrons
- The total number of  $e^-$  and  $\nu$  is not necessarily constant. Moreover he stated "... to every transition from neutron to proton is correlated the *creation* of an  $e^-$  and  $\nu$  ... Note that by this the conservation of the charge is assured..."
- Protons and neutrons might be simply different quantum states of the same basic particle (**Isospin hypothesis W. Heisenberg**)
- The *weak interaction* (the new force responsible for the  $\beta$  decay) is a contact interaction

# Versuch einer Theorie der $\beta$ -Strahlen. I<sup>1)</sup>.

Von E. Fermi in Rom.

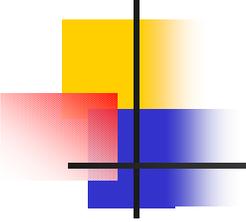
Mit 3 Abbildungen. (Eingegangen am 10. Januar 1934.)

Eine quantitative Theorie des  $\beta$ -Zerfalls wird vorgeschlagen, in welcher man die Existenz des Neutrinos annimmt, und die Emission der Elektronen und Neutrinos aus einem Kern beim  $\beta$ -Zerfall mit einer ähnlichen Methode behandelt, wie die Emission eines Lichtquants aus einem angeregten Atom in der Strahlungstheorie. Formeln für die Lebensdauer und für die Form des emittierten kontinuierlichen  $\beta$ -Strahlenspektrums werden abgeleitet und mit der Erfahrung verglichen.

## 1. Grundannahmen der Theorie.

Bei dem Versuch, eine Theorie der Kernelektronen sowie der  $\beta$ -Emission aufzubauen, begegnet man bekanntlich zwei Schwierigkeiten. Die erste ist durch das kontinuierliche  $\beta$ -Strahlenspektrum bedingt. Falls der Erhaltungssatz der Energie gültig bleiben soll, muß man annehmen, daß ein Bruchteil der beim  $\beta$ -Zerfall frei werdenden Energie unseren bisherigen Beobachtungsmöglichkeiten entgeht. Nach dem Vorschlag von W. Pauli kann man z. B. annehmen, daß beim  $\beta$ -Zerfall nicht nur ein Elektron, sondern auch ein neues Teilchen, das sogenannte „Neutrino“ (Masse von der Größenordnung oder kleiner als die Elektronenmasse; keine elektrische Ladung) emittiert wird. In der vorliegenden Theorie werden wir die Hypothese des Neutrinos zugrunde legen.

Enrico Fermi, Zeitschrift für Physik, volume 88 (1934), page 161 ...



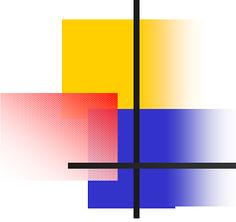
# The Fermi lagrangian describing the $\beta$ decay (I)

In analogy with the QED Fermi wrote the lagrangian of the  $\beta$  decay as

$$\mathcal{L}_b = \frac{G_b}{\sqrt{2}} \times J_h^w \cdot J_l^w = \frac{G_b}{\sqrt{2}} \times (\bar{\mathbf{y}}_p \mathbf{g}_m \mathbf{y}_n) (\bar{\mathbf{y}}_e \mathbf{g}_m \mathbf{y}_\nu)$$

$G_\beta$  is the Fermi constant and replaces  $e^2$

The hadronic current  $J_h^w$  induces the  $p \rightarrow n$  transition, whereas the leptonic current  $J_l^w$  creates the  $(e^-, \nu)$  pair from the vacuum



# Bilinear covariants

The most general hamiltonian should include all the five scalar interactions corresponding to the following bilinear covariants

Scalar (S)	Vector (V)	Tensor (T)	Axial (A)	Pseudoscalar (P)
$\bar{y}y$	$\bar{y}g^m y$	$\bar{y}s^{mm} y$	$\bar{y}g^5 g^m y$	$\bar{y}g^5 y$

where  $y = \begin{pmatrix} f \\ \frac{\vec{s} \cdot \vec{p}}{2M} f \end{pmatrix}$

(neglecting the normalization)

In general

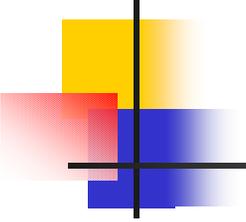
$$\bar{y}\Gamma^i y \quad \text{with } i = S, V, A, T, P$$

# Non-relativistic limit of bilinear covariants

$$\mathbf{y} = \begin{pmatrix} \mathbf{f} \\ \frac{\vec{\mathbf{s}} \cdot \vec{\mathbf{p}}}{2M} \mathbf{f} \end{pmatrix} \xrightarrow{p \rightarrow 0} \begin{pmatrix} \mathbf{f} \\ 0 \end{pmatrix}$$

	Vector(V)	Tensor (T)	Axial (A)	Pseudoscalar (P)
Scalar (S)	$\mathbf{y}^+ \mathbf{y} \quad \mathbf{m}=0$	$\mathbf{y}^+ \mathbf{s}^k \mathbf{y} \quad k=1,2,3$	$0 \quad \mathbf{m}=0$	
	$0 \quad \mathbf{m}=1,2,3$		$-\mathbf{y}^+ \mathbf{s}^k \mathbf{y} \quad \mathbf{m}=k=1,2,3$	$0$

- S and V terms do not change the spin (no  $\sigma$ )
- T and A terms do change the spin ( $\sigma$ )
- P term gives not contribution in the n.-r. limit



# The Fermi lagrangian describing the $\beta$ decay (I I)

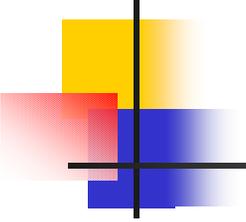
The Fermi  $L_\beta$  contained only a V term  $\Rightarrow$  only  $\Delta J=0$  transitions are allowed (Fermi transitions)

These transitions were observed and, in principle, could be explained by the S term as well!

Moreover  $\Delta J=\pm 1$  transitions (Gamow-Teller transitions) were also observed  $\Rightarrow$  T and/or A terms should also be considered

The most general lagrangian is

$$L_b = \frac{G_b}{\sqrt{2}} \times \sum_i (\bar{\mathbf{y}}_p \Gamma_i \mathbf{y}_n) (\bar{\mathbf{y}}_e \Gamma^i \mathbf{y}_n)$$



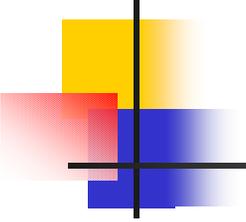
# The Kurie plot(I)

In the perturbation theory, at the first order, the transition probability from a given quantum state to another is written

$$I = \frac{2\mathbf{p}}{\hbar} \left| \langle \mathbf{y}_f | H | \mathbf{y}_i \rangle \right|^2 \mathbf{r}(E) \quad \text{where } \rho(E) \text{ is density of final states.}$$

For allowed transitions (being  $|M_0|^2$  the matrix element)

$$I = \frac{2\mathbf{p}}{\hbar} G_F^2 |M_0|^2 \frac{dN_b}{dE_0} \quad \text{being } \mathbf{r}(E) = \frac{dN_b}{dE_0}$$



# The Kurie plot( I I )

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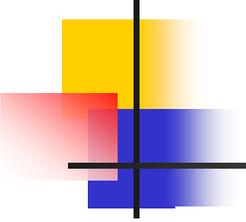
$$\frac{dN_b}{dE_0}$$

is the number of ways the maximum available energy  $E_0$  is shared between the particles involved in the decay

It can be demonstrated that the  $\beta$  yield is

$$N_b(p)dp \propto p^2 (E_0 - E)^2 dp \quad \text{Kurie plot}$$

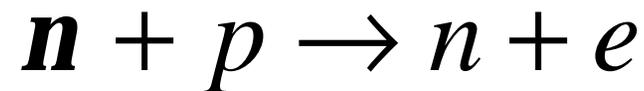
**The square root of the b yield is linear with E!**



# First calculation of $\nu p$ cross-section

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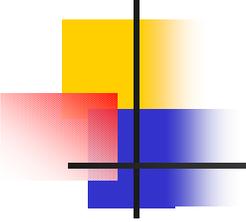
- ✓ In 1934 Bethe and Peierls computed for the first time the cross-section for the process



For 2 MeV:  $\sigma \approx 10^{-44}$  cm<sup>2</sup>!

I have done a terrible thing, I have postulated  
a particle that cannot be detected

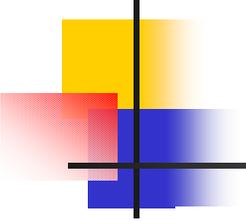
Wolfgang Pauli



# The parity non conservation hypothesis

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- ✓ A giant step in understanding weak interactions came in 1956 when T.D. Lee and C.N. Yang pointed out that there was no evidence in favour of parity conservation in weak interactions
  - They were brought to this hypothesis by studying the so-called  $\theta$ - $\tau$  puzzle of the K decay. Namely the same particle may decay in  $2\pi$  or  $3\pi$  final states which have different parity!
- ✓ They proposed several experiments which should show parity violation effects
  - We will study the experiment performed by C.S. Wu and Collaborators in 1957



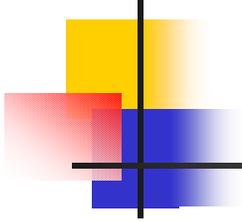
## Some definitions...

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Let us consider a parity transformation

$$x \rightarrow -x' \quad y \rightarrow -y' \quad z \rightarrow -z' \text{ (reflection)}$$

- ✓ Given the vectors  $\mathbf{A}(x,y,z)$  and  $\mathbf{A}'(x,y,z)$ 
  - if  $x = -x'$ ,  $y = -y'$  and  $z = -z' \Rightarrow \mathbf{A}$  is a polar vector
- ✓  $\mathbf{L} = \mathbf{r} \times \mathbf{p}$  (angular momentum)  $\mathbf{r}$  and  $\mathbf{p}$  are polar vectors
  - if  $L_x = L_x'$ ,  $L_y = L_y'$  and  $L_z = L_z' \Rightarrow \mathbf{L}$  is an axial vector
- ✓  $\mathbf{p} \cdot \mathbf{p}$  and  $\mathbf{L} \cdot \mathbf{L}$  do not change sign under parity transformation
  - $\Rightarrow \mathbf{p} \cdot \mathbf{p}$  and  $\mathbf{L} \cdot \mathbf{L}$  are scalars
- ✓  $\mathbf{L} \cdot \mathbf{p}$  does change sign under parity transformation
  - $\Rightarrow \mathbf{L} \cdot \mathbf{p}$  is a pseudoscalar

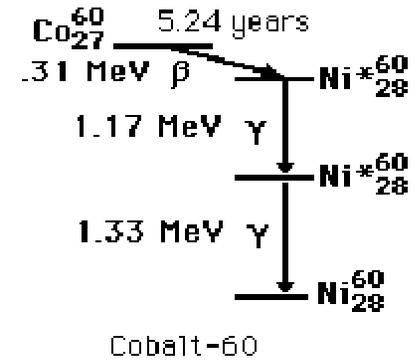


The results of an experiment is always  
a number (scalar or pseudoscalar)



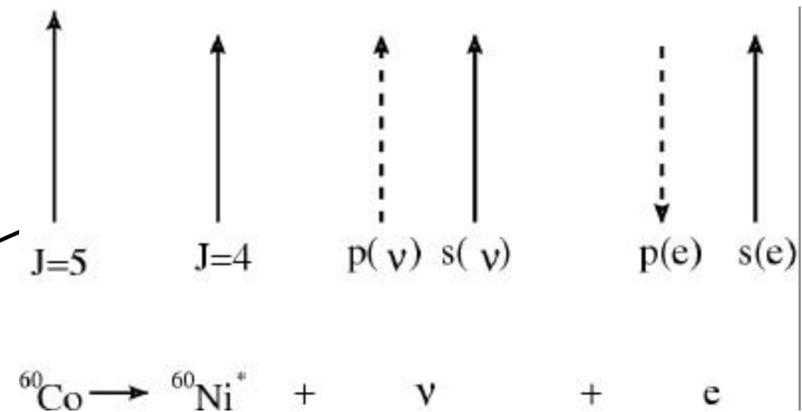
The observation of pseudoscalars different  
from 0 implies that parity conservation is  
violated!

# The discovery of parity violation (I)



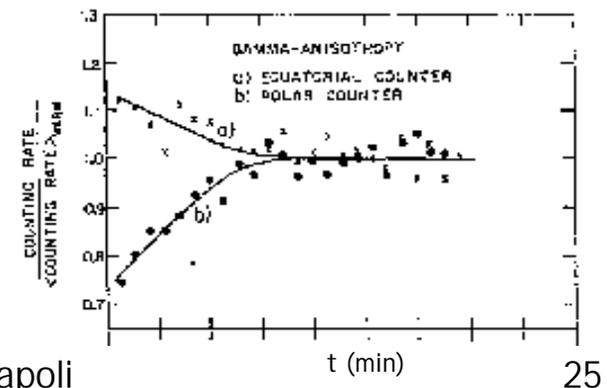
C.-S. Wu and Collaborators studied parity conservation in  $\beta$ -decay by using  $^{60}\text{Co}$  at  $0.01^\circ\text{K}$  inside a solenoid.

The  $\beta$ -decay with the relative spin configuration is shown in



The  $^{60}\text{Ni}^*$  immediately decay through two consecutive  $\gamma$  emissions

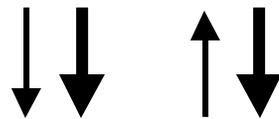
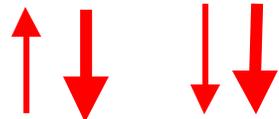
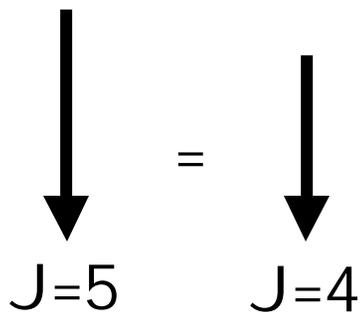
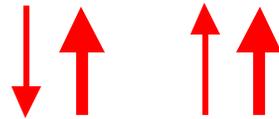
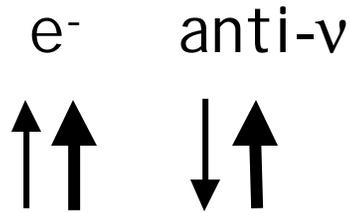
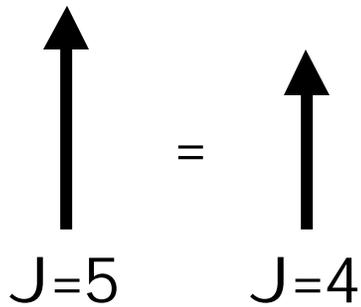
The  $\gamma$  anisotropy gives a measurement of the degree of the  $^{60}\text{Co}$  polarisation



# The discovery of parity violation (II)

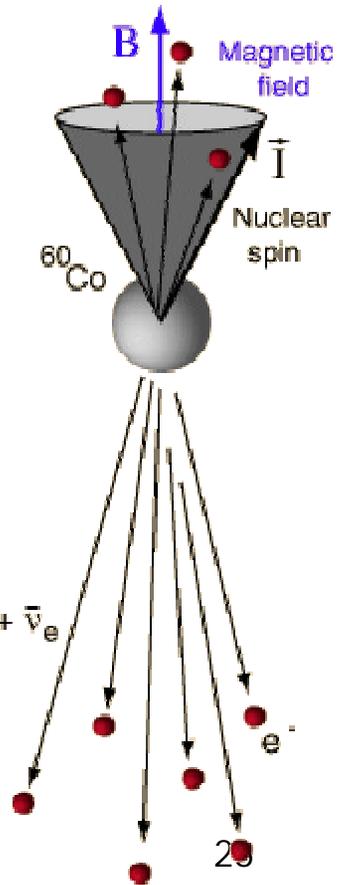
(Schematic result of the experiment)

The observed configurations are shown in red



Beta emission is preferentially in the direction opposite the nuclear spin, in violation of conservation of parity.

Wu, 1957



# The discovery of parity violation (III)

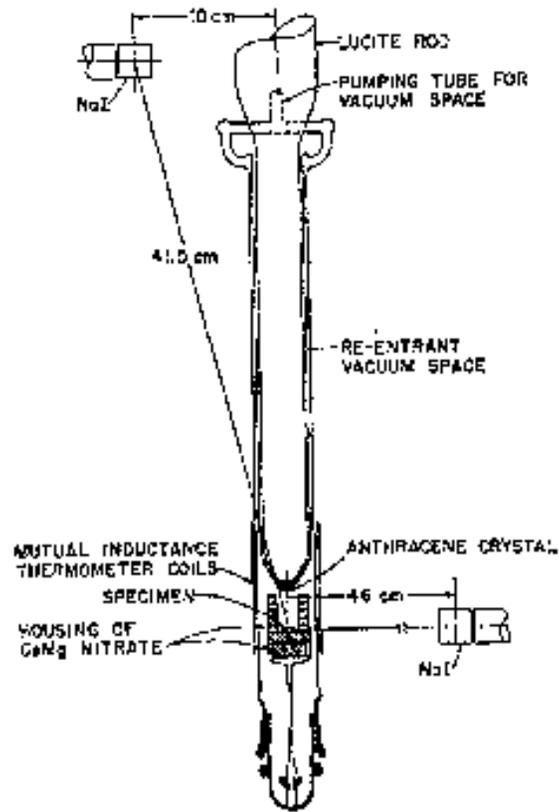


FIG. 1. Schematic drawing of the lower part of the crystal.

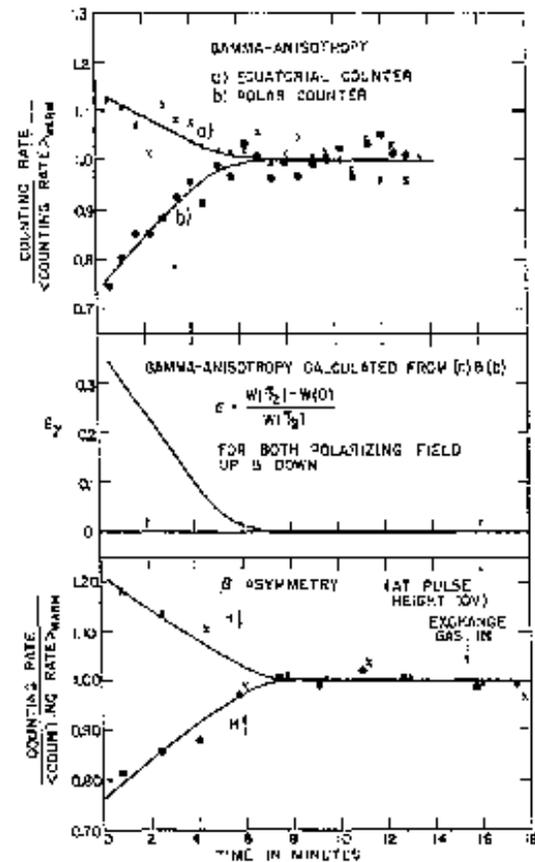
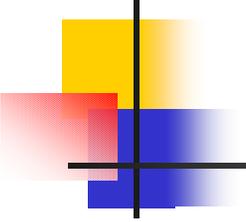


FIG. 2. Gamma anisotropy and beta asymmetry for polarizing field pointing up and pointing down.



# About the weak lagrangian (I)

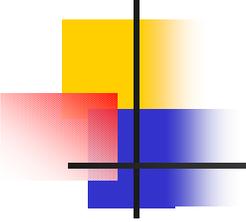
The most general lagrangian that can be written in the case of parity violation is

$$L_b = \frac{G_b}{\sqrt{2}} \times \sum_i (\bar{\mathbf{y}}_p \Gamma_i \mathbf{y}_n) (\bar{\mathbf{y}}_e \Gamma^i (C_i + C'_i \mathbf{g}^5) \mathbf{y}_n) =$$

$$\frac{G_b}{\sqrt{2}} \left\{ \bar{\mathbf{y}}_p \mathbf{y}_n \bar{\mathbf{y}}_e (C_S + C'_S \mathbf{g}^5) \mathbf{y}_n + \bar{\mathbf{y}}_p \mathbf{g}_m \mathbf{y}_n \bar{\mathbf{y}}_e \mathbf{g}^m (C_V + C'_V \mathbf{g}^5) \mathbf{y}_n \right.$$

$$\left. + \bar{\mathbf{y}}_p \mathbf{s}_{mm} \mathbf{y}_n \bar{\mathbf{y}}_e \mathbf{s}^{mm} (C_T + C'_T \mathbf{g}^5) \mathbf{y}_n + \bar{\mathbf{y}}_p \mathbf{g}^5 \mathbf{g}_m \mathbf{y}_n \bar{\mathbf{y}}_e \mathbf{g}^5 \mathbf{g}^m (C_A + C'_A \mathbf{g}^5) \mathbf{y}_n \right\}$$

$C_i$  and  $C'_i$  are coefficients that, in general, are functions of  $q^2$ . However,  $q^2$  is small in these processes, so that  $C_i$  and  $C'_i$  can be taken as constants

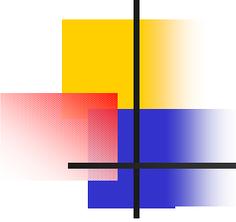


# About the weak lagrangian (I I)

Experiments measuring the electron polarisation showed that it is largely polarised. Furthermore, they gave the following relations among coefficients

$$C_S' = C_S \quad C_V' = -C_V \quad C_T' = C_T \quad C_A' = -C_A$$

$$L_b = \frac{G_b}{\sqrt{2}} \left\{ \begin{aligned} &C_S \bar{y}_p y_n \bar{y}_e (1 + g^5) y_n + C_V \bar{y}_p g_m y_n \bar{y}_e g^m (1 - g^5) y_n \\ &+ C_T \bar{y}_p s_{mn} y_n \bar{y}_e s^{mn} (1 + g^5) y_n + C_A \bar{y}_p g^5 g_m y_n \bar{y}_e g^5 g^m (1 - g^5) y_n \end{aligned} \right\}$$



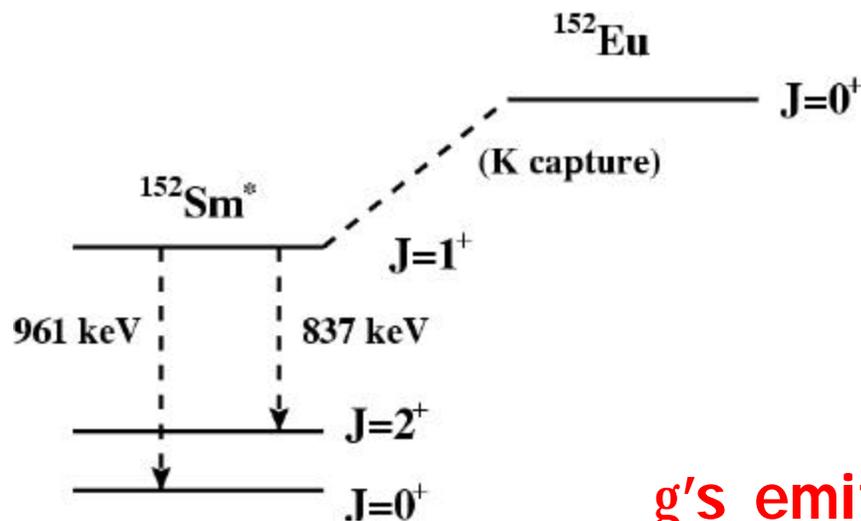
# Comments

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- ✓  $\nu$  enters in the  $L$  with the form  $(1+\gamma^5)\Psi_\nu$  for S and T, but  $(1-\gamma^5)\Psi_\nu$  for V and A. This means that  $\nu$  is right(left)-handed for S and T (V and A)
- ✓ The electron always enters with the form  $\bar{\Psi}_e(1+\gamma^5)$

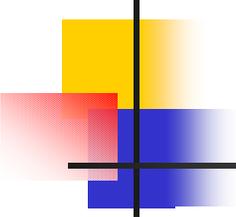
**The helicity of the  $n$   
has to be measured**

# The helicity of the $\nu$ is measured (M. Goldhaber et al. 1957)

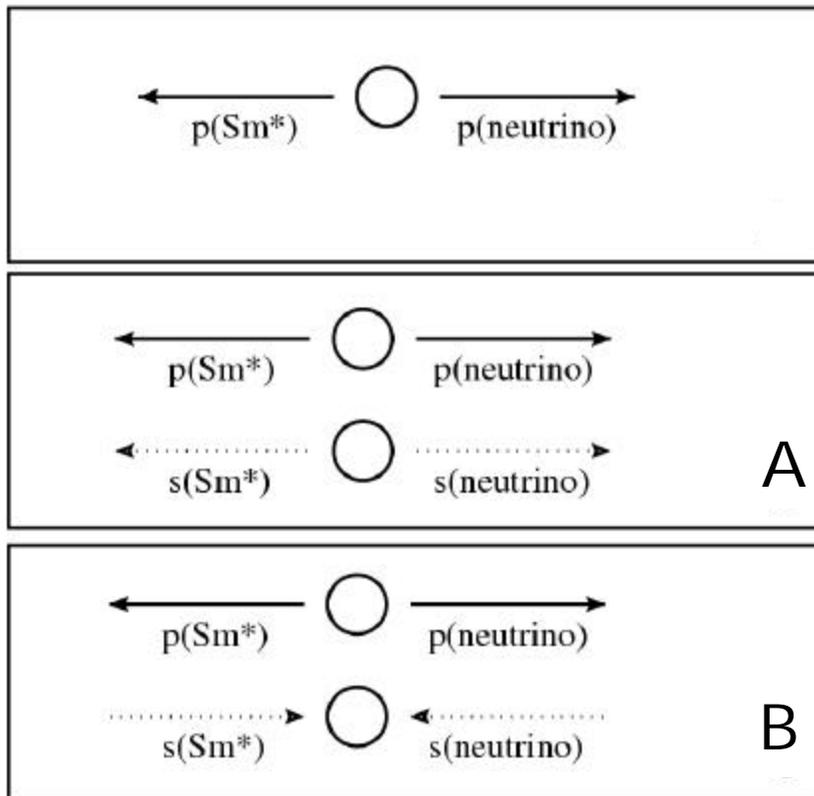


- ✓  $^{152}\text{Eu}$  undergoes to e capture and transforms into  $^{152}\text{Sm}^*$
- ✓ This state immediately decays emitting two  $\gamma$ 's (see figure for the full decay chain)

**$g$ 's emitted along the nucleus recoil have higher energy (Doppler effect)**



...ctd



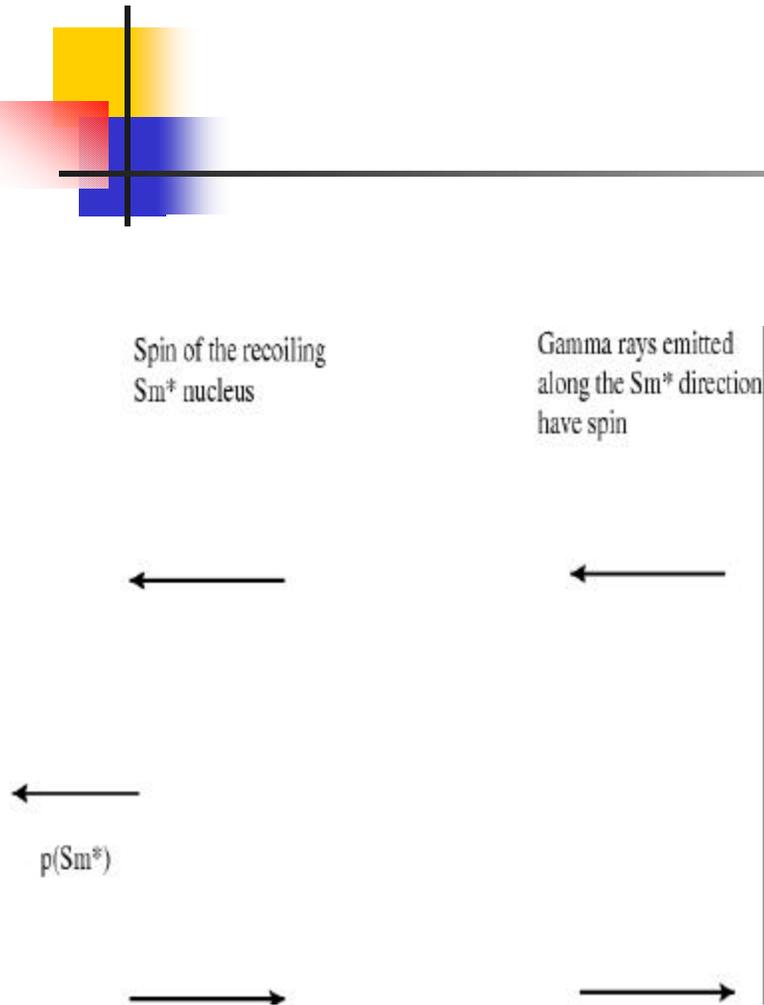
In the nucleus reference system the  $^{152}\text{Sm}^*$  and the  $\nu$  are emitted in opposite direction

Being the initial angular momentum  $J=0$ , the momentum conservation principle implies that the spin is conserved



**Two possible scenarios A & B**

... ctd



- ✓ Since the  $\gamma$  has spin 0 as well as the ground state of Sm, the  $\gamma$  polarisation reflects the Sm spin orientation
- ✓ The nucleus recoiling direction can be determined by Doppler effects



By measuring the polarisation of  $\gamma$  emitted along the Sm recoil direction the  $\nu$  helicity can be measured (see previous transparency)

# The apparatus

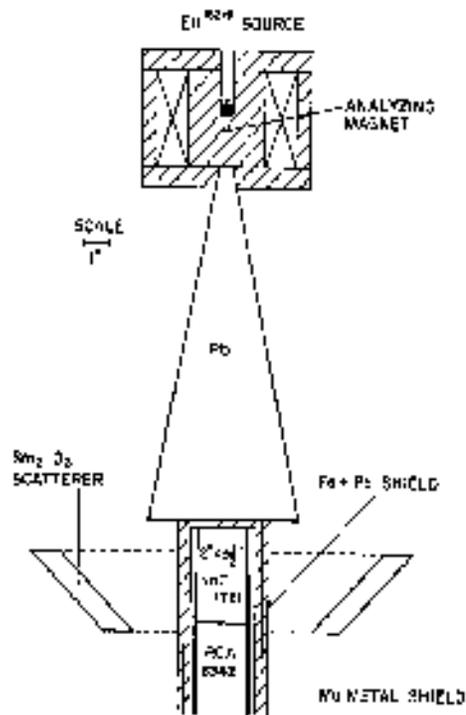


FIG. 1. Experimental arrangement for analyzing circular polarization of resonant scattered  $\gamma$ -rays. Weight of  $\text{Sm}_2\text{O}_3$  scatterer: 1850 grams.

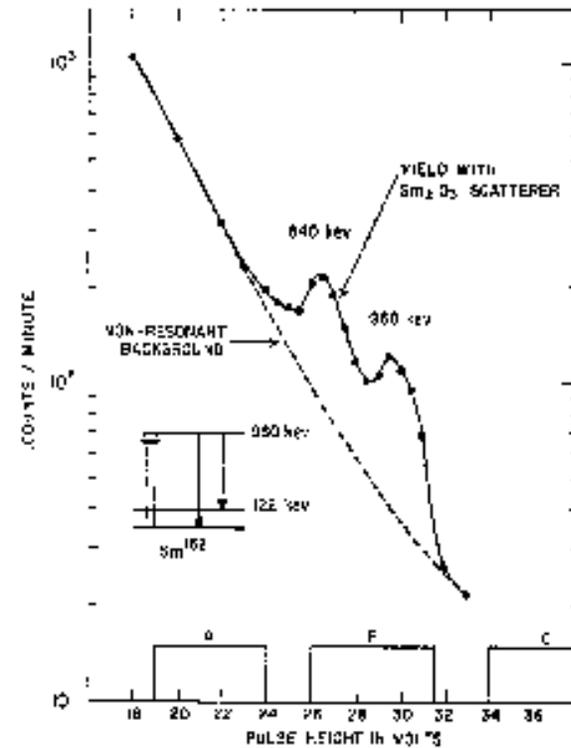
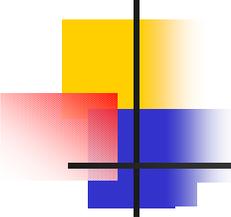


FIG. 2. Resonance-scattered  $\gamma$  rays of  $\text{Fe}^{152}$ . Upper curve is taken with arrangement shown in Fig. 1 with magnetized iron. Lower curve shows nonresonant background (including natural background).



# Neutrino are left-handed

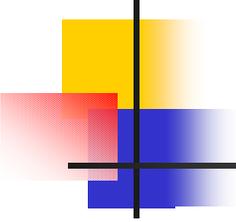
## Helicity of Neutrinos\*

M. GOLDHABER, L. GRODZINS, AND A. W. SUNYAR

*Brookhaven National Laboratory, Upton, New York*

(Received December 11, 1957)

A COMBINED analysis of circular polarization and resonant scattering of  $\gamma$  rays following orbital electron capture measures the helicity of the neutrino. We have carried out such a measurement with  $\text{Eu}^{152m}$ , which decays by orbital electron capture. If we assume the most plausible spin-parity assignment for this isomer compatible with its decay scheme,<sup>1</sup>  $0^-$ , we find that the neutrino is “left-handed,” i.e.,  $\sigma_\nu \cdot \hat{p}_\nu = -1$  (negative helicity).



# The V-A theory of weak interactions

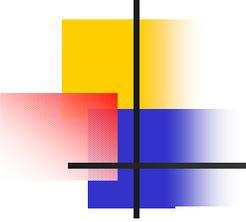
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After many years of both theoretical and experimental efforts it was concluded in the mid '50s that all of weak interaction phenomenology could be described by the Fermi lagrangian (Feynman and Gell-Mann 1958)

$$L = \frac{G_F}{\sqrt{2}} J^a(x) J_a^+(x)$$

$J^a(x)$  is the analogue of the e.m. current and is the sum of two parts

$$J^a(x) = \underset{\text{leptonic}}{l^a(x)} + \underset{\text{hadronic}}{h^a(x)}$$



# Weak processes

---

- ✓ Purely leptonic processes (e.g.  $\mu^- \rightarrow e^- + \text{antiv}_e + \text{v}_\mu$ )

$$J^a(x) = \frac{G_F}{\sqrt{2}} l^a(x) l_a^+(x)$$

- ✓ Semi-leptonic processes (e.g.  $\beta$  decay)

$$J^a(x) = \frac{G_F}{\sqrt{2}} \{ l^a(x) h_a^+(x) + h^a(x) l_a^+(x) \}$$

- ✓ Purely hadronic processes (e.g.  $\Lambda \rightarrow p + \pi^-$ )

$$J^a(x) = \frac{G_F}{\sqrt{2}} h^a(x) h_a^+(x)$$

# Two Weak Interactions

In charged-current events,

Flavor of outgoing lepton tags flavor of neutrino

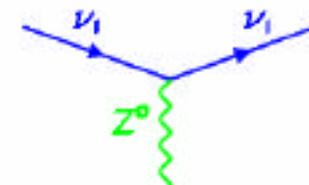
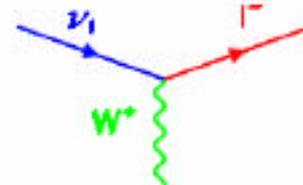
Charge of outgoing lepton determines if neutrino or antineutrino

$$l^- \rightarrow \nu$$

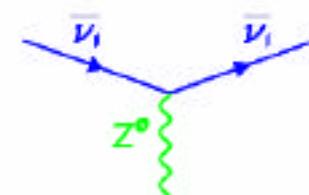
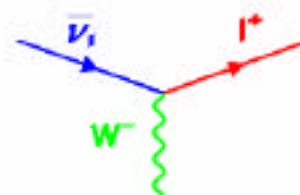
$$l^+ \rightarrow \bar{\nu}$$

Charged-Current (CC) Interactions      Neutral-Current (NC) Interactions

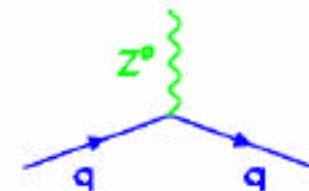
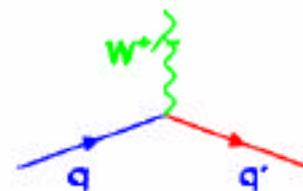
Neutrinos



Anti-Neutrinos



Quarks



Flavor Changing

Flavor Conserving

# The discovery of the neutrino

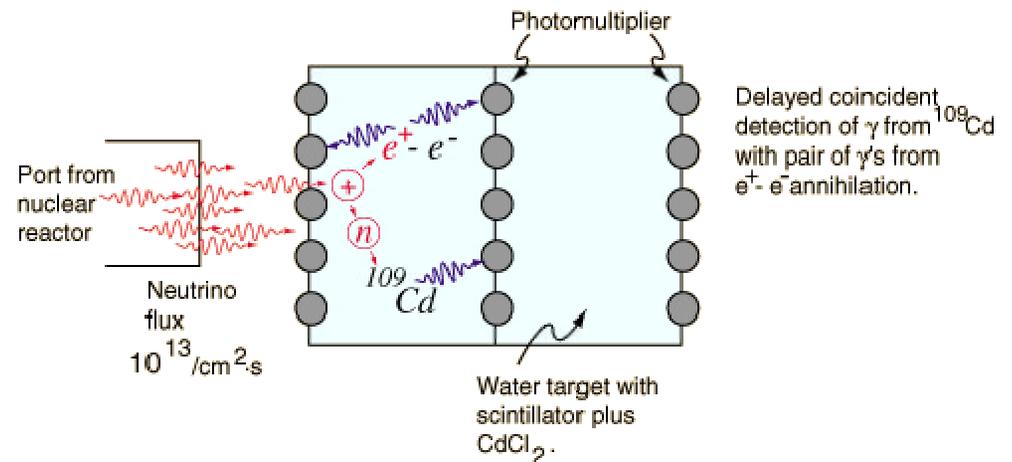
In 1953 C. Cowan and F. Reines proposed to detect neutrinos by using a liquid scintillator

## Principle

Detection of the reaction



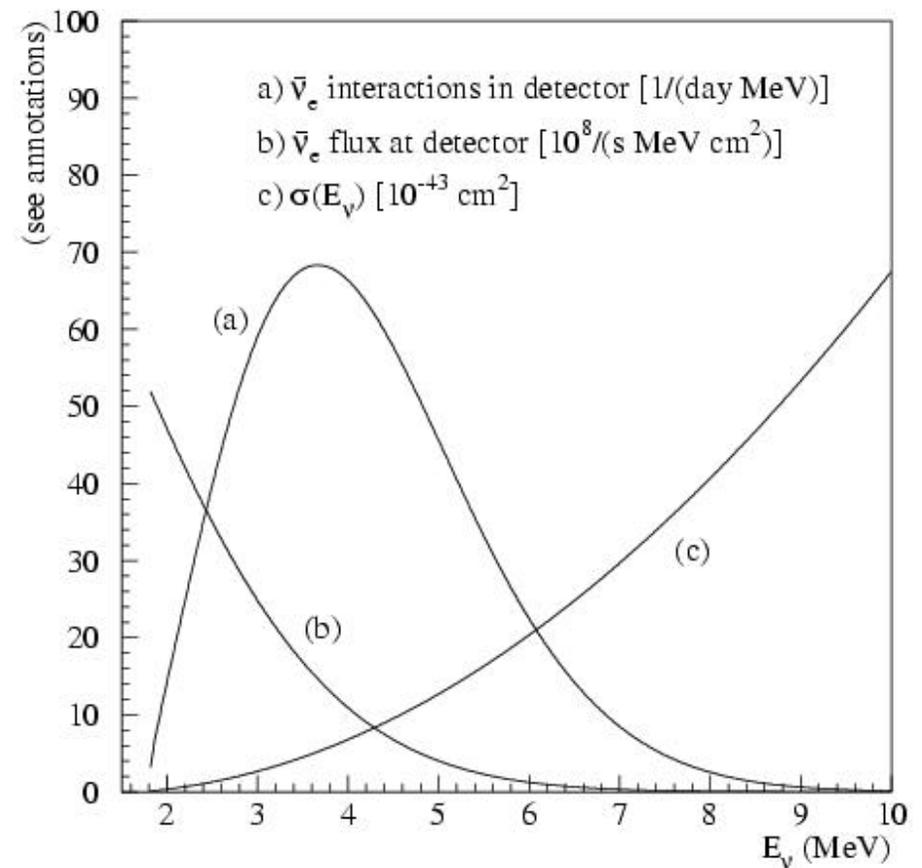
through the detection the coincidence of the signals induced by the two  $\gamma$ 's from positron annihilation, and the  $\gamma$ 's produced in the neutron capture (see figure)



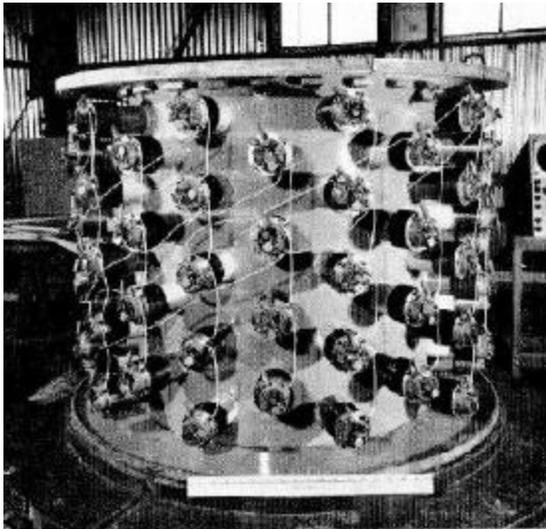
How to produce a high intensity neutrino flux?

# Neutrino from reactors

The original idea of Reines and Cowan was to detect  $\bar{\nu}$  emitted from a fission bomb! (Un)Fortunately the nuclear reactors became available and they turned out to be more practical

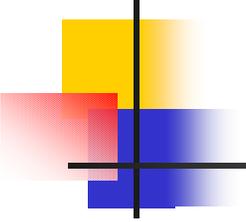


# The detector



I	Liquid scintillator
A	Water + CdCl <sub>2</sub>
II	Liquid scintillator
B	Water + CdCl <sub>2</sub>
III	Liquid scintillator

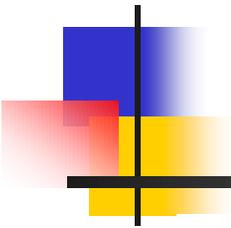
- ✓ Tanks I - II - III contain 140l of triethylbenzene (TEB) liquid scintillator solution
- ✓ Tanks A and B contain 200l of water (target) and 40kg of CdCl<sub>2</sub> (to capture the neutrons)
- ✓ The neutrino flux 11m far from the reactor was  $1.2 \times 10^{13} \text{cm}^{-2} \text{s}^{-1}$



# The results

---

- ✓ The measured cross-section  $((6.7 \pm 1.5) \times 10^{-44} \text{ cm}^2)$  was consistent with the prediction  $((6 \pm 1) \times 10^{-44} \text{ cm}^2)$
- ✓ The first pulse of the delayed-coincidence signal was due to positron annihilation
- ✓ The second pulse of the delayed-coincidence signal was due to neutron capture
- ✓ The signal was a function of the number of target protons
- ✓ Radiation other than neutrinos was ruled out as the cause of the signal by means of an absorption experiment



# Counting neutrinos

---

# The discovery of the $\nu_\mu$

The discovery that  $\nu_e \neq \nu_\mu$  was possible thanks to the realisation of the first  $\nu$  beam from an accelerator (BNL 1962, Lederman, Steinberger, Schwartz)

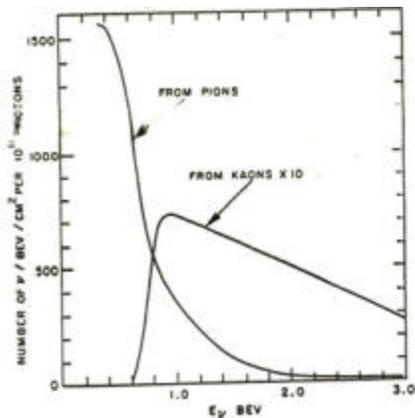
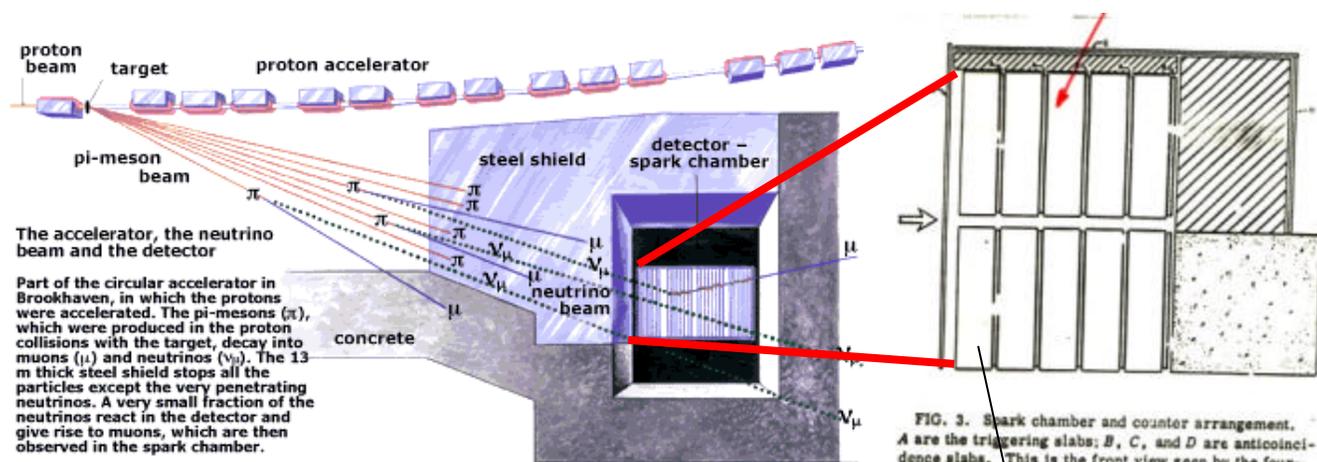
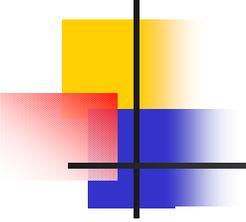


FIG. 2. Energy spectrum of neutrinos expected in the arrangement of Fig. 1 for 15-BeV protons on Be.



Contains 9 Al plates which act as target

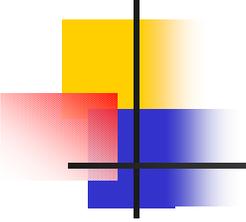


# The selection criteria

---

## A genuine $\nu$ interaction should

- originate in a fiducial volume whose boundaries lie 4" from the front and back walls of the chamber and 2" from the top and bottom walls
- have the first two gaps not fired, in order to exclude events whose origins lie outside the chambers
- for single track events, have an extrapolation backward (towards the neutrino source) that remains for two gaps within the fiducial volume
- for single track events, have a track with an angle, relative to the neutrino direction, less than  $60^\circ$



# The selected sample

---

## 113 events survived the previous cuts

- 49 single tracks. Visible momentum, if interpreted as  $\mu$ , less than 300 MeV. A run with an improved shielding yielded a smaller number of these events  $\Rightarrow$  background events
- 34 single muons with momentum above 300 MeV
- 22 vertex events. More than one track at the primary vertex and substantial energy release
- 8 shower events. They are in general single tracks, too irregular to be typical of  $\mu$ , and more typical of electron or photon showers

# Some pictures

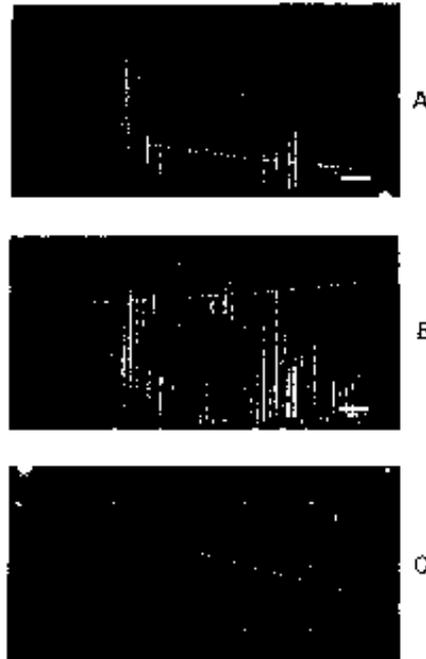


FIG. 5. Single muon events. (A)  $p_{\mu} > 540$  MeV and  $\delta$  ray indicating direction of motion (neutrino beam incident from left); (B)  $p_{\mu} > 400$  MeV/c; (C)  $p_{\mu} > 440$  with  $\delta$  ray.

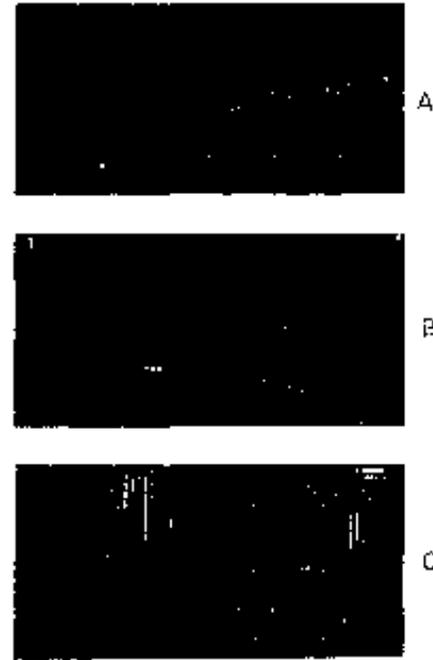
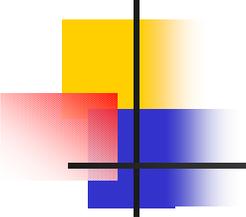


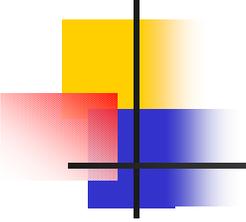
FIG. 6. Vertex events. (A) Single muon of  $p_{\mu} > 500$  MeV and electron-type track; (B) possible example of two muons, both leave chamber; (C) four prong star with one long track of  $p_{\mu} > 600$  MeV/c.



# The analysis of the 56 events with a $\mu$ in the final state

---

- ✓ The observed events are not entirely due to cosmic-rays. A run with the accelerator off  $\Rightarrow 5 \pm 1$  events observed  $\Rightarrow 51$  events are left
  - ✓ The 51 events are not induced by neutrons
    - They are uniformly distributed over the fiducial volume
    - By removing part of the shielding the observed rate does not change
  - ✓ The observed mean free path of tracks produced in single track events is consistent with the one expected for  $\mu$
  - ✓ The observed reactions are due to the decay products of  $\pi$  and K
- $\Rightarrow 34$  single events were observed of which 5 are considered to be cosmic-ray background



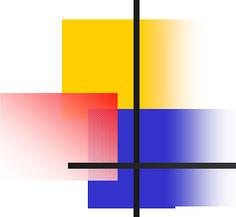
## Conclusion: $\nu_e \neq \nu_\mu$

---

- ✓ If  $\nu_e = \nu_\mu$  there should be of the order of 29 electron showers, but only 8 shower candidates were observed
- ✓ A test experiment with electrons confirmed the good electron identification of the apparatus



“... the most plausible explanation for the absence of the electron showers, and the only one which preserve the universality, is then that  $\nu_e \neq \nu_\mu$ ; that is, that there are at least two types of neutrinos. This also resolves the problem raised by the forbidness of the  $\mu^+ \rightarrow e^+ \gamma$  decay”



# The $\nu_\tau$ discovery

---

The aim of the DONUT experiment was the direct observation of the  $\nu_\tau$

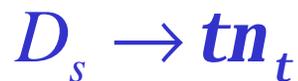


The basic elements of the experiment are

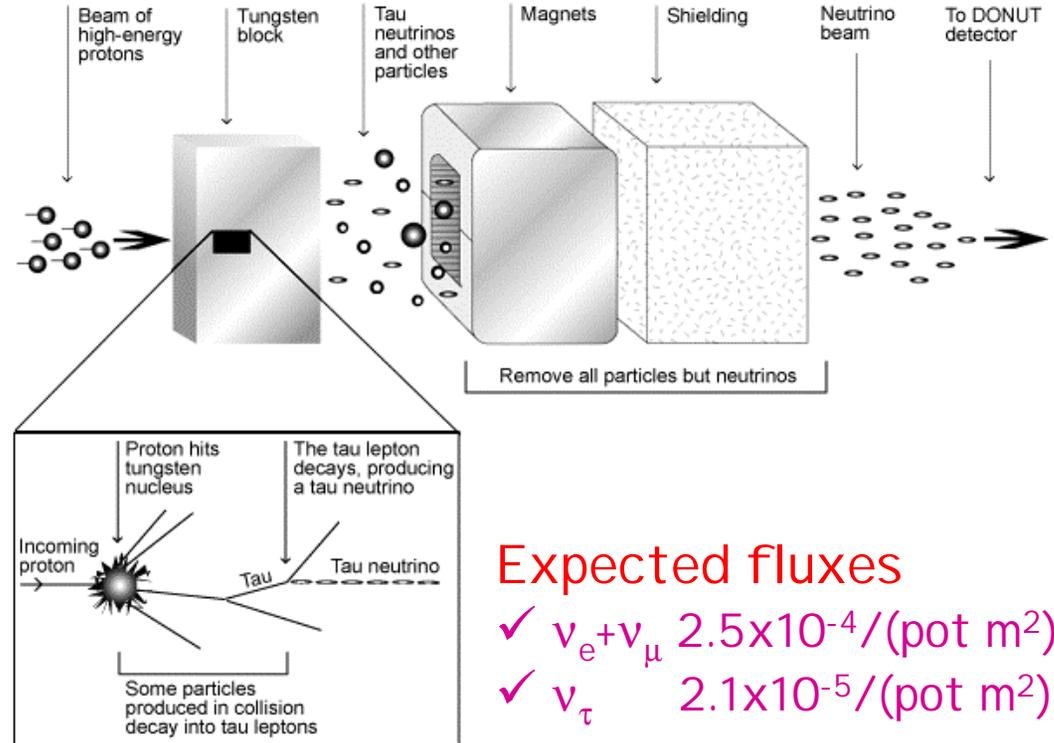
- ✓ A  $\nu_\tau$  beam
- ✓ A detector capable to observe directly the  $\tau$  produced in CC interactions of the  $\nu_\tau$

# How to produce a $\nu_\tau$ beam?

- ✓ 800 GeV protons are sent into a block of tungsten
- ✓ In the interactions charmed particles can be produced
- ✓ Among them  $D_s$  mesons are produced and are the primary source of  $\nu_\tau$



- ✓ Downstream from the dump a magnet plus an iron shielding stop all charged particles produced into the dump

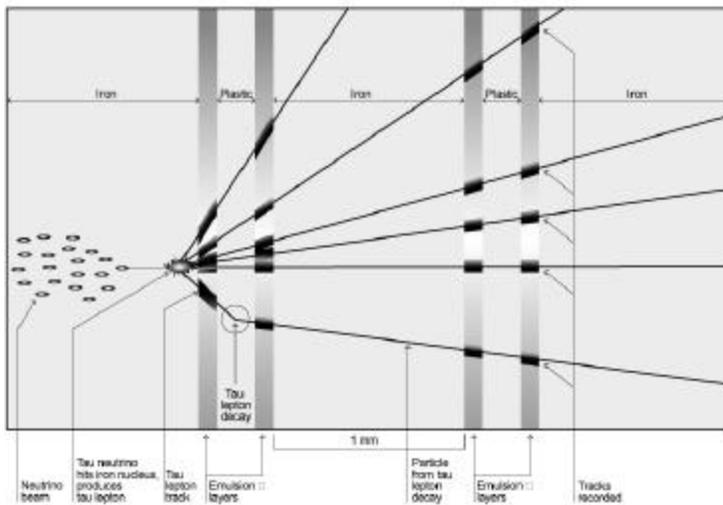


## Expected fluxes

- ✓  $\nu_e + \nu_\mu$   $2.5 \times 10^{-4} / (\text{pot m}^2)$
- ✓  $\nu_\tau$   $2.1 \times 10^{-5} / (\text{pot m}^2)$

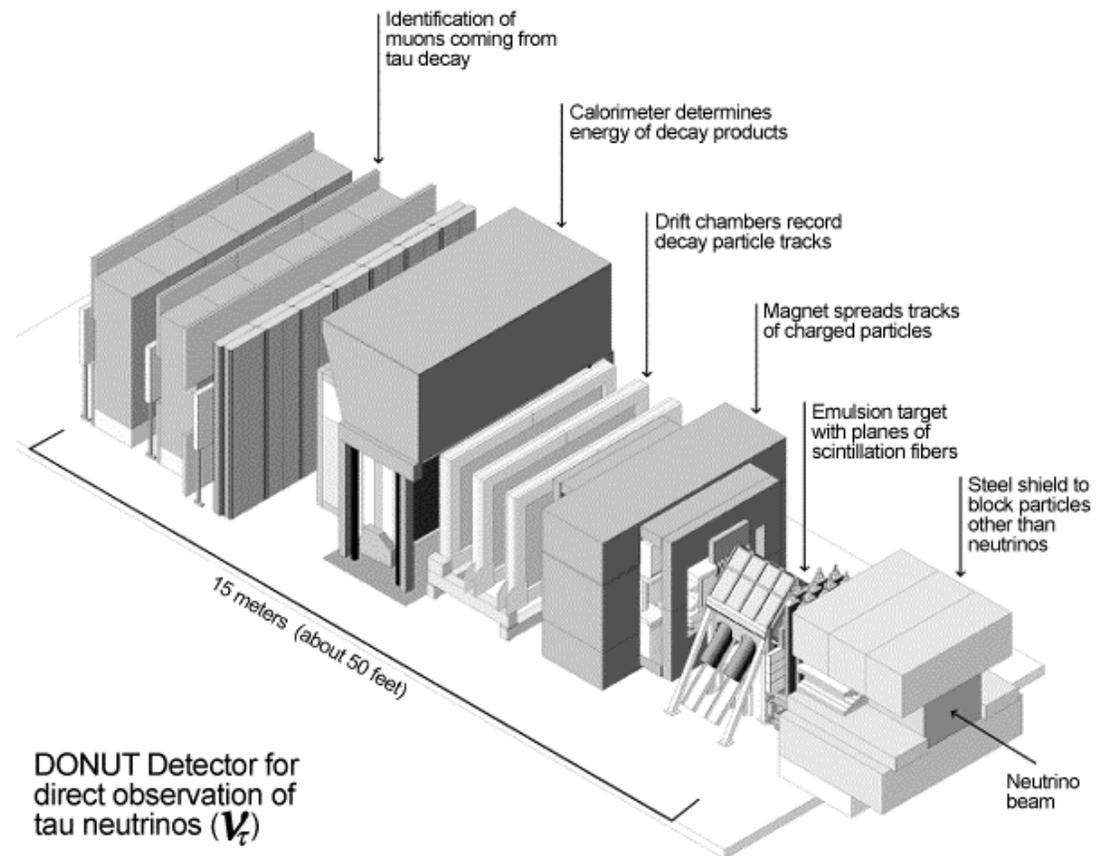
# The DONUT detector

## Detecting a Tau Neutrino

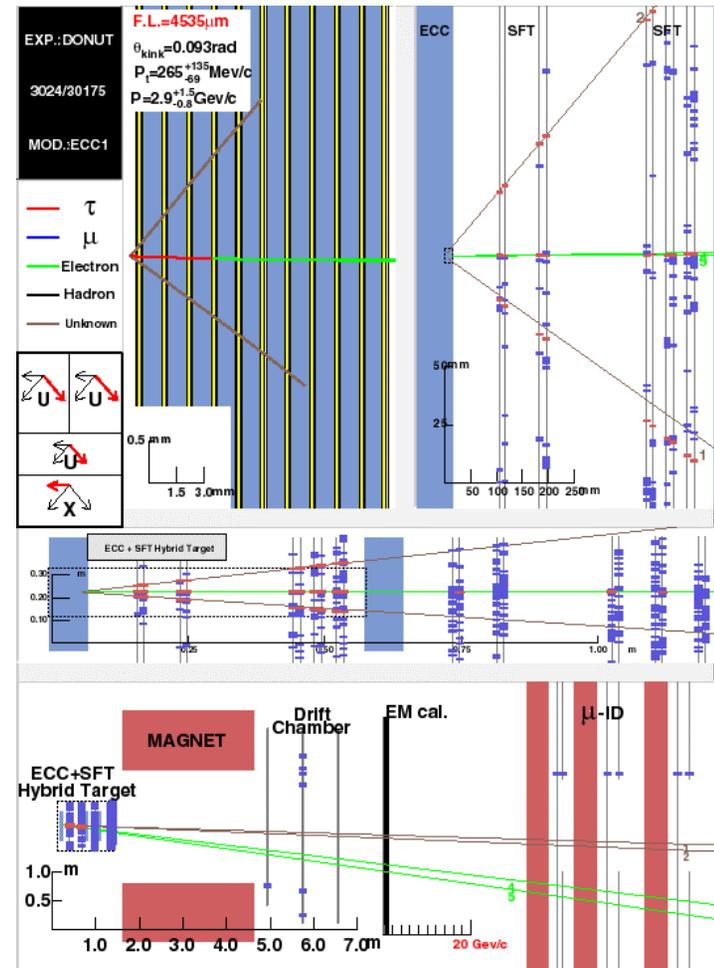
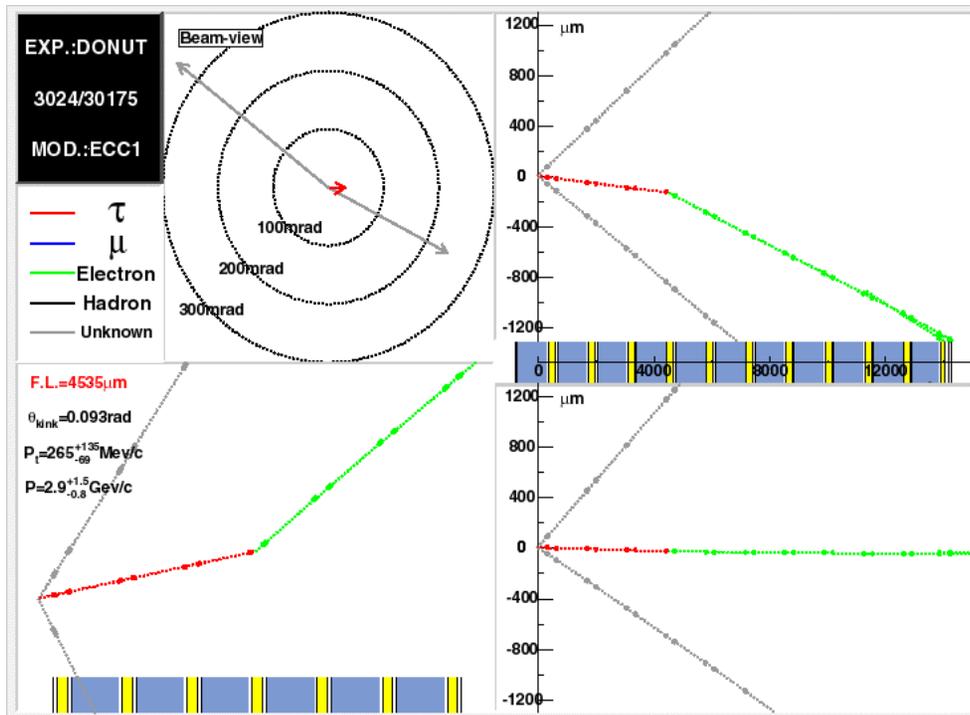


Of one million million tau neutrinos crossing the DONUT detector, scientists expect about one to interact with an iron nucleus.

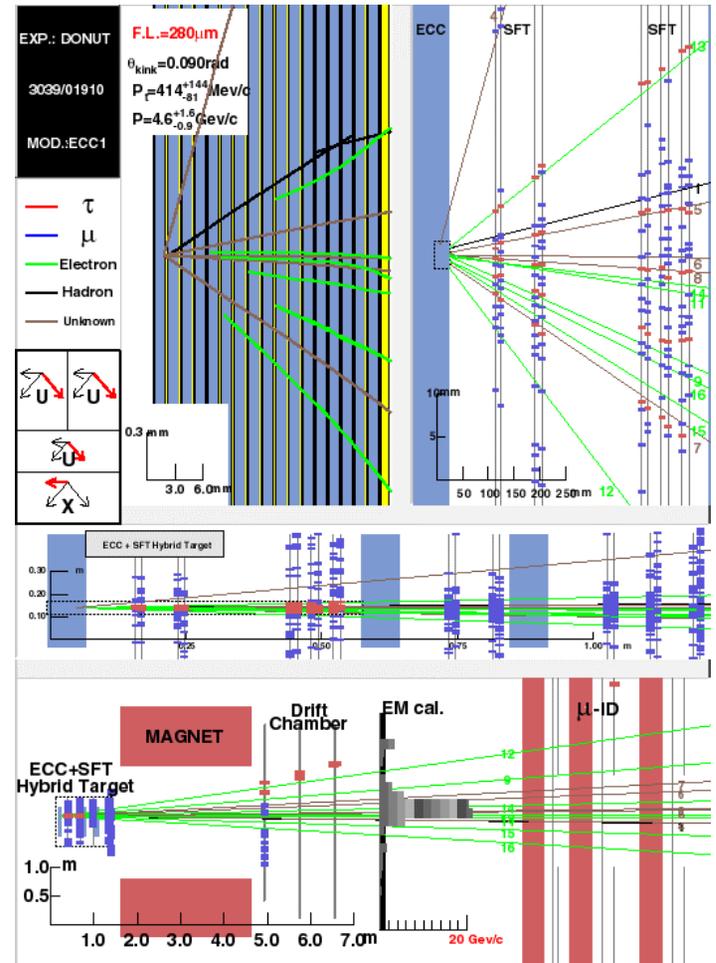
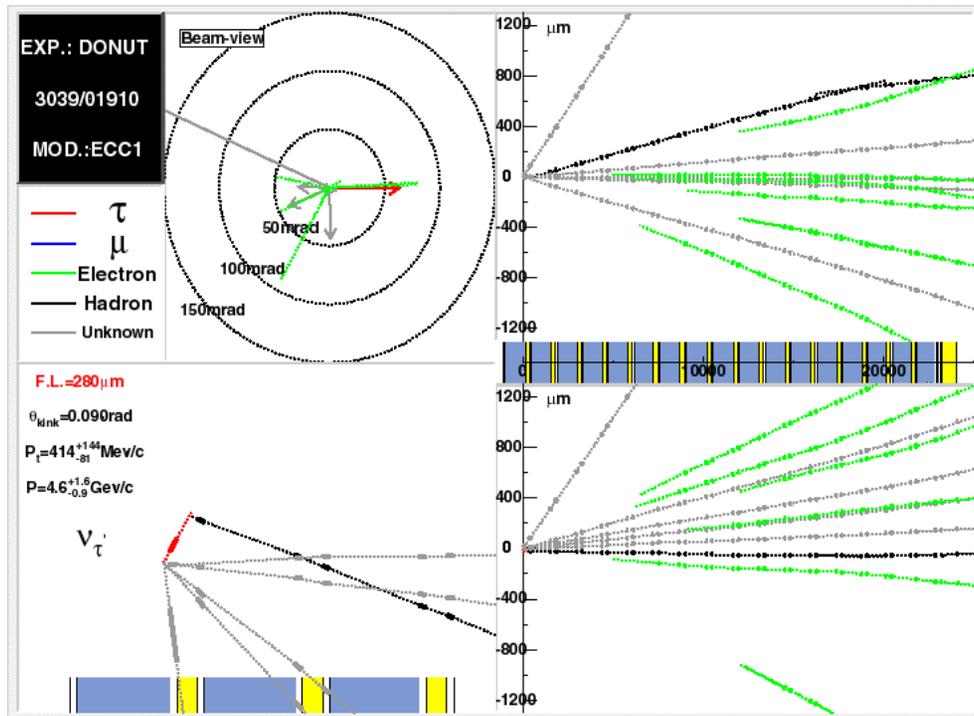
## DONUT Detector



# $\tau \rightarrow e$ candidate



# $\tau \rightarrow h$ candidate



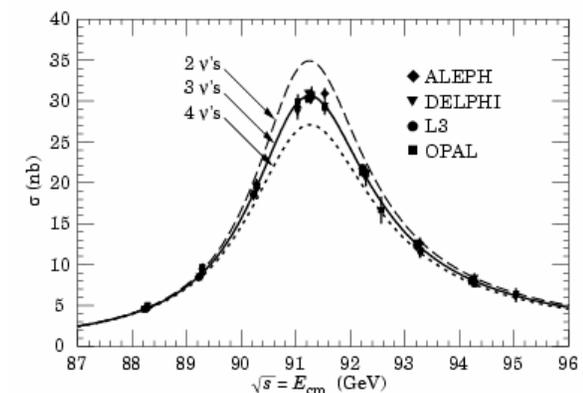
# Counting active neutrinos

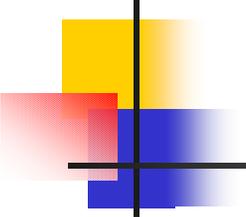
The number of active neutrino can be inferred by measuring the width of Z (due to  $Z \rightarrow \nu \text{ anti-}\nu$ )

From measurement at LEP

$$N_n = 2.9841 \pm 0.0083$$

NB This measurement does not exclude active neutrinos with mass larger than 45 GeV and the existence of weak isosinglets decoupled for the Z (sterile neutrinos)





# Counting $\nu$ with CMB and BBN

---

- ✓ The Cosmic Microwave background (CMB) anisotropies and Big Bang Nucleosynthesis (BBN) probe the effective number of neutrinos ( $N_\nu = 3 + \Delta N_\nu$ ) that were present in the early Universe
- ✓ The extra relativistic energy density due to sterile neutrinos, or other possible light particles is given by

$$\rho_x = \Delta N_\nu \rho_\nu = 7/8 \Delta N_\nu \rho_\gamma$$

where  $\rho_\gamma$  is the photon density

- ✓ Notice that sterile neutrinos would contribute to  $\Delta N_\nu$ , but so could other new physics sources

# Recent WMAP results

(later I'll give more details on this exp)

WMAP alone

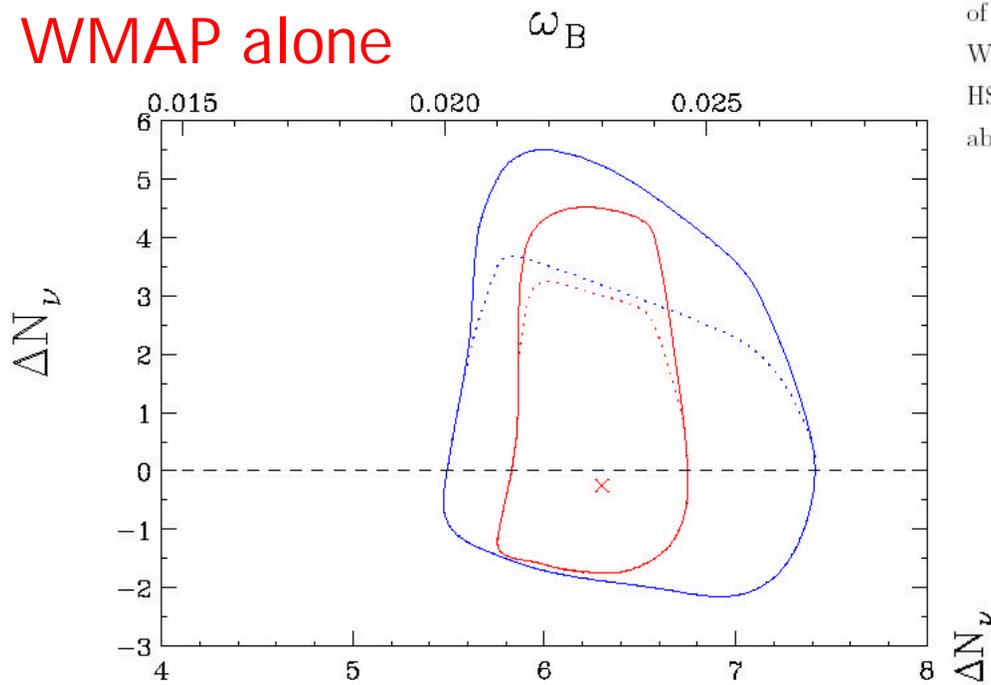
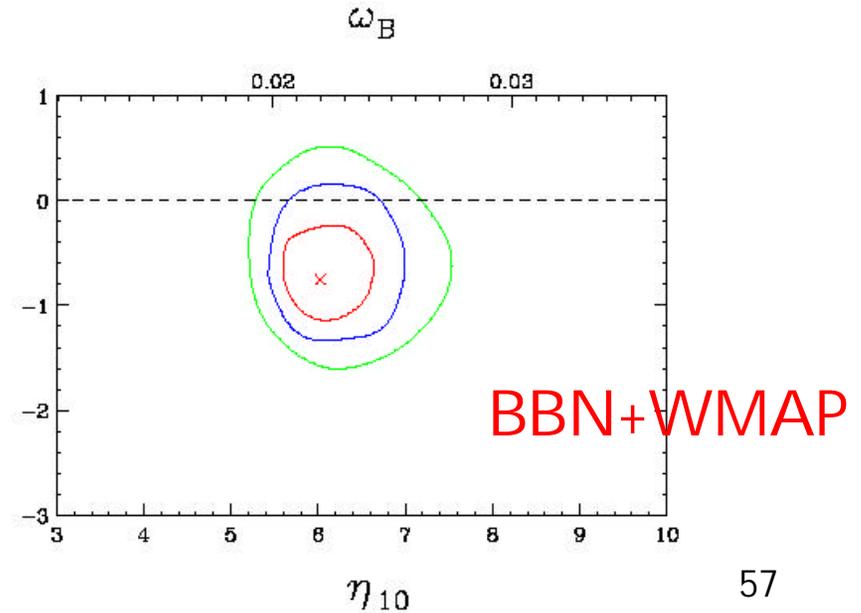


Table 1: The  $2\sigma$  ranges (for 1 degree of freedom) of  $N_\nu$  and  $\eta_{10}$  from analyses [76] of WMAP data, deuterium and helium abundances and their combinations. The WMAP analysis involves the assumption of a flat universe, along with the strong HST prior on  $h$  and the age constraint  $t_0 \geq 11$  Gyr. For BBN the adopted primordial abundances are:  $y_D \equiv 10^5(D/H) = 2.6 \pm 0.4$  [80],  $Y = 0.238 \pm 0.005$  [81].

	$N_\nu$ ( $2\sigma$ range)	$\eta_{10}$ ( $2\sigma$ range)
WMAP	0.9 – 8.3	5.58 – 7.26
$y_D + Y$	1.7 – 3.0	4.84 – 7.11
WMAP + $y_D + Y$	1.7 – 3.0	5.53 – 6.76

Proportional to the baryon density

↗  $\eta_{10}$



# Age 73. Still in a good shape

1930	$\nu$ existence postulated	Pauli
1934	$\nu$ interaction theory and name	Fermi
1938	Solar $\nu$ flux calculation	Bethe
1946	Idea of $\nu$ chlorine detector	Pontecorvo
1956	$\nu$ interactions observed	Reines & Cowan
1957	Idea of $\nu$ oscillation	Pontecorvo
1958	Left-handed $\nu$	Goldhaber
1962	2 $\nu$ 's, $\nu_{\mu} \neq \nu_e$	Lederman, Schwartz & Steinberger
1968	Solar neutrino deficit	Davis
1973	$\nu$ NC interactions observed	Gargamelle
1975	$\tau$ and the third $\nu$	Perl
1986	Solar deficit again	Kamiokande
1987	$\nu$ from SN1987A	Kamiokande, IMB
1989	3 light neutrino families	LEP Collaborations
1991	Still solar deficit	Gallex, SAGE
1998	Atmospheric $\nu$ oscillation	Super-Kamiokande
2002	Solar $\nu$ oscillation confirmed	SNO, KamLand